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IOWA DIVISION OF WORKERS' COMPENSATION (IWD DWC) EDI CLAIMS RELEASE 3.1 INFORMATION WEBINAR

**PARTICIPANT LOGIN: 1:00 PM-1:30 CST
THIS PRESENTATION WILL BEGIN PROMPTLY
AT 1:30 PM CST**



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**WELCOME TO THE IOWA DIVISION OF
WORKERS' COMPENSATION (IWD DWC)
EDI CLAIMS RELEASE 3.1 INFORMATION
WEBINAR**

DATE: JUNE 27, 2018

**TIME: 2:30 PM EST, 1:30 PM CST –
4:30 EST, 3:30 PM CST**

For Claim Administrators, Insurance
Companies, Third Party Administrators, Self
Insured Employers & EDI Service Providers.



Welcome to the Iowa Division of Workers' Compensation (IWD DWC) EDI Claims Release 3.1 Information Webinar During the Information Webinar

- All attendees phones will be muted for the duration of the Session.
- Any questions during the Information Session, please send an email to IWDDWCedi@iso.com (Hereafter referred to as Iowa's EDI email).
 - The questions will be presented during or immediately following the session as time permits.
 - All questions and answers will be available online at <https://IWDDWCedi.info> (Hereafter referred to as Iowa's EDI website), shortly after the Information Session.
- This Information Session is being recorded and will be available online for future viewing at Iowa's EDI website.

Introduction of Presenters

Iowa Division of Workers' Compensation

Janna Martin | Assistant Workers' Compensation Commissioner

Steve Martin | IWD PMO Project Manager

ISO Workers Compensation Standards division

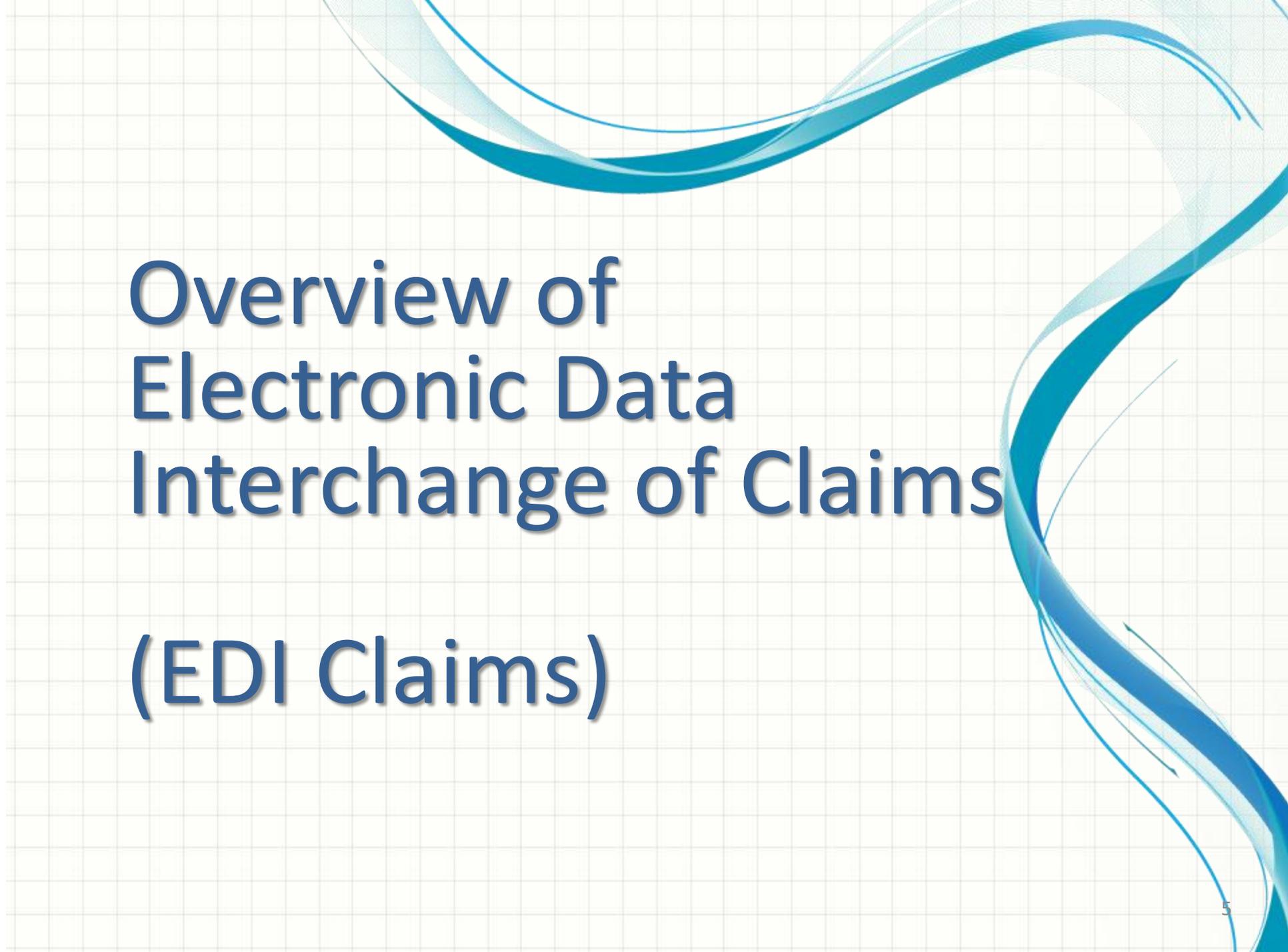
Amy E. Cooper, WCP | Business Associate – Strategy & Operations

Robbie Tanner | wcCapture Product Manager

Nancy Johns | wcAnalyzer Product Manager

Natalie Tarazona, WCP | wcCapture/wcAnalyzer Assistant Product Manager

Linda Yon | wcCapture Assistant Product Manager



Overview of Electronic Data Interchange of Claims (EDI Claims)

What is EDI?



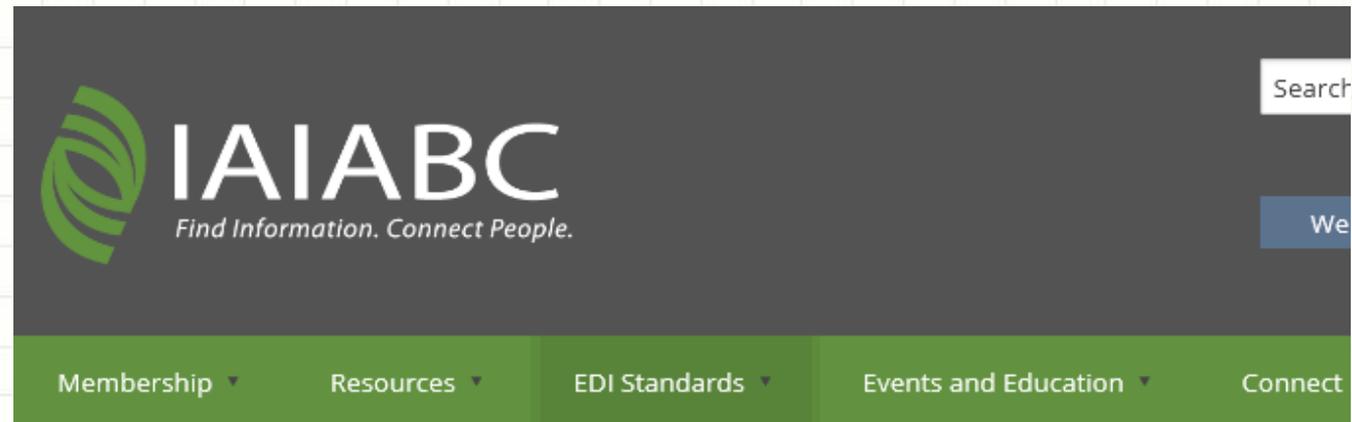
Electronic Data Interchange (EDI) is the electronic exchange of data between *business* trading partners, in a standardized format.

Iowa is using the IAIABC National Standard, EDI Claims Release 3.1 to replace EDI Release 2 reporting.

Implementation Considerations and Coordination

Access IAIABC Claims Release 3.1 Implementation schedule at:

https://www.iaiaabc.org/iaiaabc/EDI_Claims.asp



Select **EDI Claims**
from the EDI
Standards menu

Claims Release
3.1

Implementation
schedule

EDI Claims Standard

IAIABC EDI Claims Standards are used to report of injury and subsequent report of the most current release and is maintained. Release 3.1 is published on January 1 of 2018.

Claims State Implementation

Download the **EDI Jurisdictional Profiles** of all IAIABC EDI Standards.

View the **EDI Jurisdictional Summary** of all IAIABC EDI Standards.

View the **Claims Release 3.1 Implementation Schedule**

EDI Claims

EDI Medical

EDI Proof of Coverage

Electronic Medical
Billing

Standard References

Standards
Development

Licensing

Support workers' compensation first in all jurisdictions. EDI Claims Release 3.1 is the latest standardized documentation for EDI Claims

Learn more about implementations of all IAIABC

Get implementation insight on all IAIABC EDI



Iowa's EDI Initiative and Timelines for EDI Claims Release 3.1 Implementation

Iowa 's Initiative and Timeline for EDI Claims Release 3.1 Implementation

The Iowa Division of Worker's Compensation (IWD DWC) will be transitioning from electronic reporting of workers' compensation First Report of Injury (FROI) and Subsequent Report of Injury (SROI) reports via Release 2, to Release 3.1.

Release 3.1 electronic reporting will be required for all trading partners: insurers, self-insured employers, and claim administrators. Mandatory implementation is planned for December 3, 2018.

Iowa's Initiative and Timeline for EDI Claims Release 3.1 Implementation

Effective Friday November 29, 2018, ensure the last reportable Release 2 data is sent to and received by Iowa.

All Release 2 reports not received by Iowa on November 29, 2018 by 4:00 PM CT - 5:00 PM ET must be submitted electronically per the Event Table effective on the mandate date December 3, 2018.

Effective Monday December 3, 2018, electronic reporting via Release 3.1 for all Trading Partners will begin and Release 2 reports will no longer be accepted or allowed by Iowa.

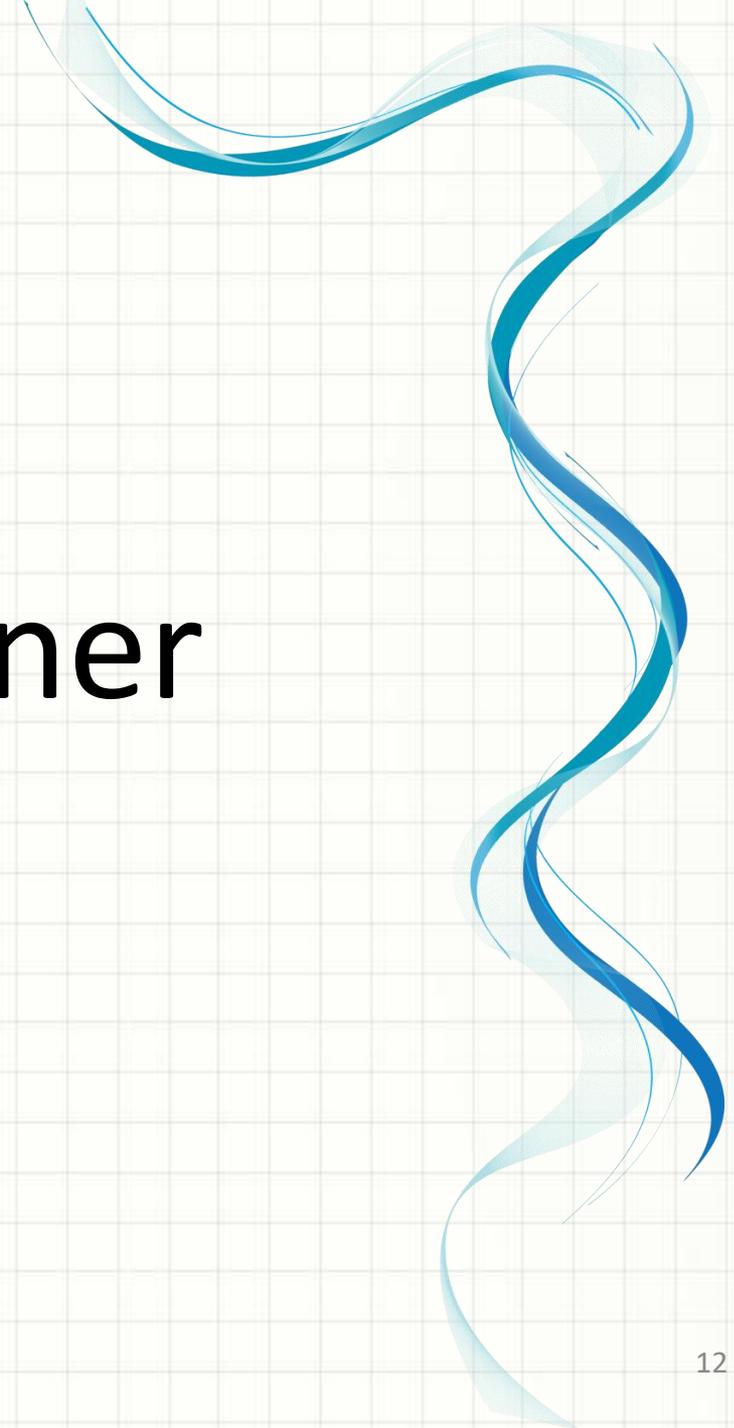
Iowa's Initiative and Timeline for EDI Claims Release 3.1 Implementation

Trading Partner Registration: Available starting on
3/9/2018 through 8/01/2018

Trading Partner/EDI Vendor Testing: Available starting
on 5/15/2018 through 11/21/2018

Acceptance of Release 2: Ongoing through 11/29/2018

Mandatory Release 3.1 FROI SROI Reporting Begins:
12/3/2018



Iowa's Trading Partner Registration

Who is a Trading Partner?



A Trading Partner is an entity that enters into an agreement with Iowa to exchange data electronically.

Who should complete a Trading Partner Profile?

- Claim Administrators, Insurers and Self-Insured Employers, and Third Party Administrators who will be submitting data directly.
- Any business who plans to use the Iowa Claims ISO wcPrism web reporting system.
- Claim Administrators, Insurers and Self Insured Employers, and Third Party Administrators who will be using an EDI Service Provider or Vendor.
- Any business entity that plan to submit data on behalf of one or more Claim Administrators, Insurers and Self Insured Employers, for example a Third Party Administrator.

Trading Partner Profile (TPP) Registration Timelines

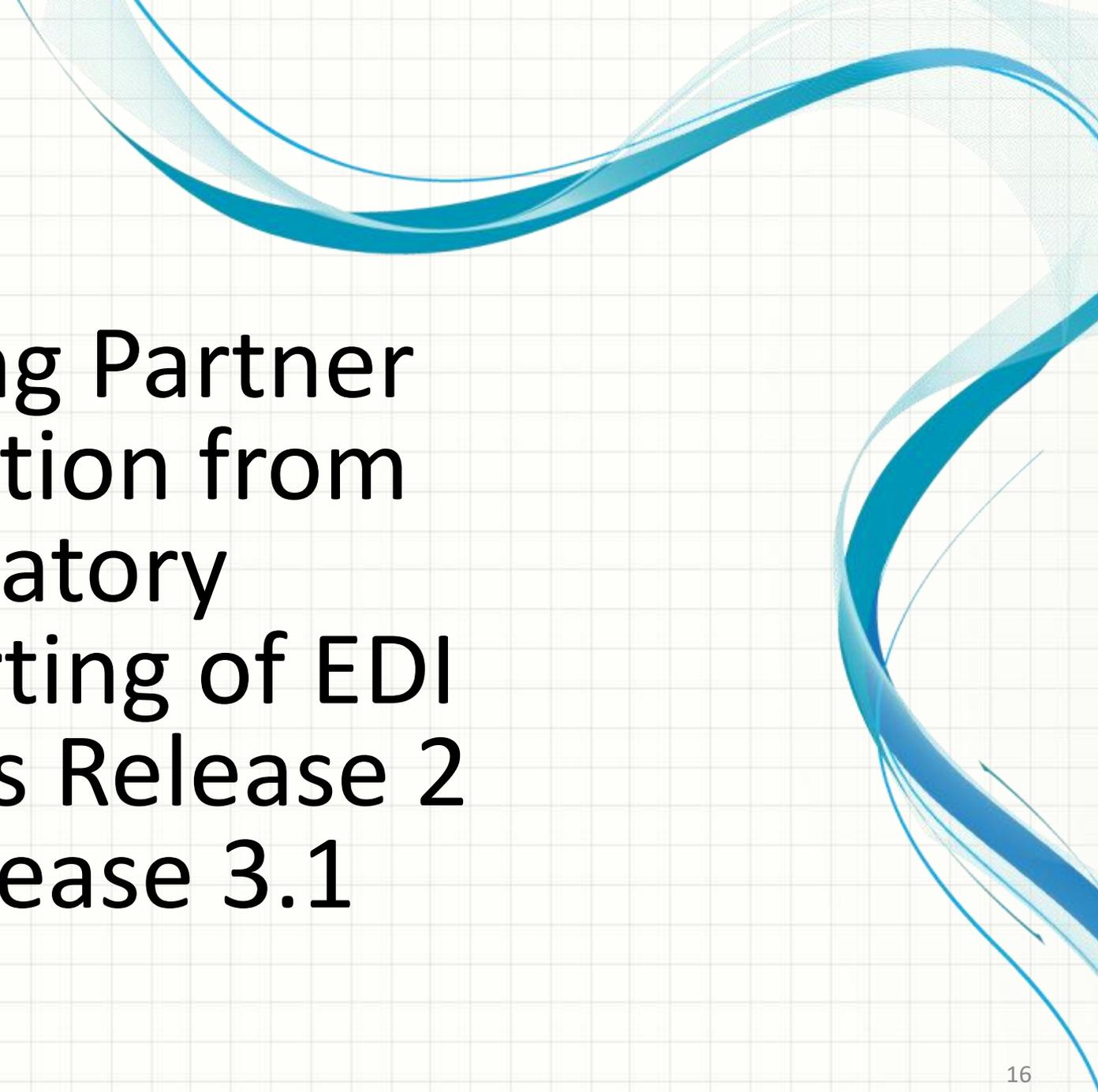
- Trading Partner Profile registration is now available
- Registration will be completed electronically through Iowa's EDI website by selecting Trading Partner Registration on the left side of the page

Trading Partner Profile

Trading Partner Registration

Registration Instructions

- All Trading Partners are encouraged to submit a TPP by 08/01/2018
- Even though you are a Release 2 registered Trading Partner, you must re-register for R3.1



Trading Partner Transition from Mandatory Reporting of EDI Claims Release 2 to Release 3.1

Trading Partner Transition to Mandatory FROI SROI EDI Reporting

How does the transition work?

New Claims: Claims that are new and have never been reported. For each new claim, review the FROI Event table to determine which event would cause you to report the claim to Iowa.

Following the FROI report, review the SROI Event Table to determine what SROI reports can follow the FROI.

Legacy Claims: Claims previously accepted in Release 2 and assigned a JCN, and are still open or have been re-opened.

Trading Partner Transition to Mandatory FROI SROI EDI Reporting

What EDI FROI report should be sent for Legacy Claims? (Claims previously accepted via Release 2)

For each Legacy claim, submit a FROI UR (Update Report) if the claim is open or has been re-opened based on the FROI UR entry noted on the Event Table. Trading Partners should make the determination as to whether a claim is open and should be reported to Iowa. The FROI UR should be the first FROI filed on the legacy claim.

Iowa expects the FROI and SROI UR report submissions to be completed by 2/1/19. If a claim re-opens after 2-1-19, then the same Report Trigger should be followed.

Adoption of Release 3.1: Iowa FROI UR Event

Release	Report Type	Maintenance Type		Event Rule			Report Trigger	
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value
3.1	FROI	UR	Update Report	2	12-3-18		J = Jurisdiction Defined	<p>A Legacy claim is any claim previously reported in R2 for which a Jurisdiction Claim Number (JCN) has been assigned.</p> <p>The FROI UR is required prior to submitting any SROI in R3.1 for ONLY Open or Re-opened Legacy claims. The FROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting.</p> <p>Note: IWD DWC expects the FROI UR report submissions to be completed by 2-1-19 for these claims. If a claim re-opens after 2-1-19, then the same Report Trigger shown above should be followed.</p>

Trading Partner Transition to Mandatory FROI SROI EDI Reporting

What EDI SROI Report should be sent for Legacy Claims? (Claims previously filed in Release 2)

For Legacy Claims with Existing Payments (Benefit Types or Other Benefit Types) for Open Claims and/or Re-Open Claims, a SROI MTC UR (Update Report) should be the first SROI filed following an accepted FROI UR unless, no IP, AP EP, PY has yet been filed.

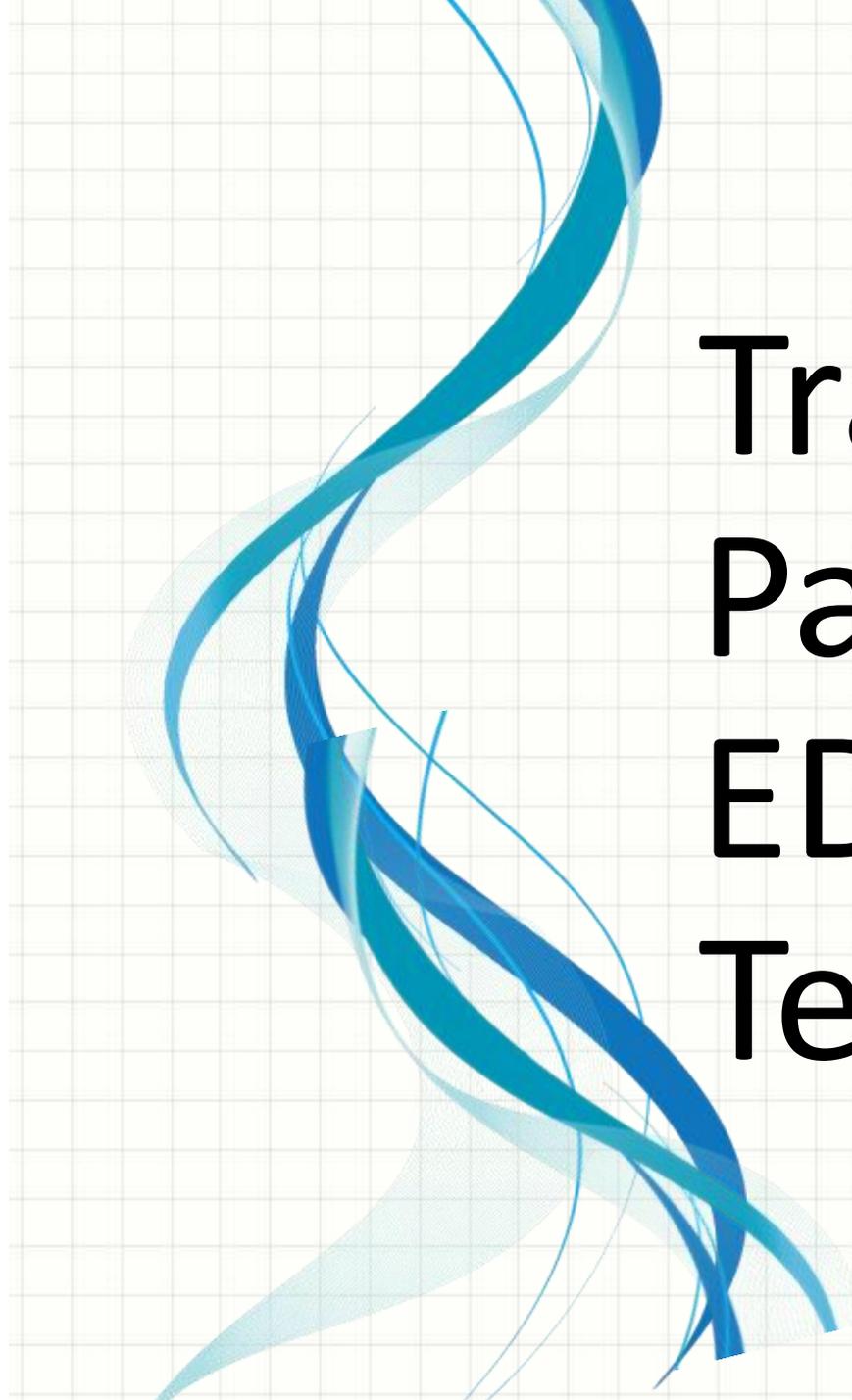
If no Initial Payment or equivalent MTC has been accepted, then the applicable IP, AP, EP or PY should be the first SROI filed, and no SROI UR is required.

Following the SROI MTC UR that is in TA acknowledgment status, any SROI MTC can follow where the next SROI MTC would be the SROI that reflects the next action needed on the claim(s). Normal sequencing will apply to the MTC that follow.

Iowa expects the SROI UR report submissions to be completed by 2/1/19. If a claim re-opens after 2-1-19, then the same Report Trigger should be followed.

Adoption of Release 3.1: Iowa SROI UR Event

Release	Report Type	Maintenance Type		Event Rule			Report Trigger	
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value
3.1	SROI	UR	Update Report	2	12-3-18		J = Jurisdiction Defined	<p>A Legacy claim is any claim previously reported in R2 for which an SROI FN (Final) has not been accepted. The SROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting. The FROI UR is required prior to submitting the SROI UR. File a SROI MTC UR as the first SROI filed following the FROI MTC UR.</p> <p>Following a SROI MTC UR that is in TA acknowledgment status, any SROI MTC can follow where the next SROI MTC would reflect the next action taken by the claim administrator after the accepted UR filing. Normal sequencing based off the accepted UR transaction will apply to the MTC that follows the SROI MTC UR.</p> <p>EXCEPTION: When an IP, AP, EP, or PY was not previously in a TA or TE status on a legacy R2 claim.</p> <p>Note: Iowa will require that an IP, AP, EP, or PY must have been in a TA or TE status in R2 prior to the SROI UR, otherwise the SROI UR will be rejected. The initial payment (IP) or equivalent (EP, AP, PY) represents the Iowa Notice of Commencement of Benefits which tolls Statute of Limitations.</p> <p>If the SROI UR is rejected because the Initial Payment or equivalent was not previously accepted, the Claim Administrator should proceed with filing the Initial Payment or equivalent in R3.1 per the Event.</p> <p>Note: IWD DWC expects the SROI UR report submissions to be completed by 2-1-19 for these claims. If a claim re-opens after 2-1-19, then the same Report Trigger shown above should be followed.</p>



Trading Partner and EDI Vendor Testing

Trading Partners & EDI Service Provider (Vendor) Testing

Testing began on 5/15/2018 and will continue through 11/21/2018.

Registrations must be submitted and approved prior to testing. Iowa will allow registrations through 08/01/2018.

Trading Partners & EDI Service Provider (Vendor) Testing

Testing for Iowa will be handled by Iowa's EDI Claims vendor, ISO - Workers Compensation Standards division

All Trading Partners will be required to complete the published Iowa test plan to verify their ability to file electronically with Iowa prior to the EDI Claims Release 3.1 implementation date.

For Trading Partners who are currently or are planning to file EDI Claims Release 3.1 FROI/SROI reports through an EDI vendor, the vendor will be required to complete the test plan on behalf of all their clients. Once the vendor is approved for production, the Trading Partner will also be approved for production.

Trading Partners & EDI Service Provider (Vendor) Testing

For Trading Partners who are planning to file EDI Claims Release 3.1 FROI/SROI reports without using an EDI Vendor, they will be required to complete the test plan on their own behalf.

Iowa ISO wcPrism Web Entry users are not required to test.

Trading Partners & EDI Service Provider (Vendor) Testing

The 5 Test Stages of Testing

Stage 1: EDI Trading Partner Profile Electronic Registration

Stage 2: Pretest and Technical Capability Test

Stage 3: Business Content Test (FROI)

Stage 4: Business Content Test (SROI)

Stage 5: Iowa Test Completion (FROI and SROI)

Trading Partners & EDI Service Provider (Vendor) Testing

The 5 Test Stages of Testing

Stage 1: EDI Trading Partner Profile Electronic Registration

Complete the EDI Trading Partner Profile Electronic. Please note that the information on the registration is used for both testing and production reporting.

Stage 2: Pretest and Technical Capability Test

Complete the technical capability test to ensure that the file structure is valid per the IAIABC EDI Claims Release 3.1 standards. This portion ensures that the Header Record is populated with the correct Sender ID, the Receiver ID and other data validations.

The file structure/format for the test files must be correct.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 3: Business Content Test (FROI)

The business content test for FROI requires at a minimum the FROI MTCs, **(00, 01, 02, 04, UR)** to be tested in accordance with the Iowa R3.1 Test Plan.

When considering the FROI reports that will be tested, it is recommended that a review of Stage 4 SROI testing be performed at the same time to determine if additional FROI test reports are needed to establish claims in order to successfully complete the SROI testing.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 3: Business Content Test (FROI)

Upon completion of Batch 1 and 2 of FROIs, the Trading Partner and/or their EDI Vendor should request testing review and approval of the minimum transactions listed from Iowa, by submitting the **Iowa EDI Testing Feedback Request List R3.1** to Iowa's EDI email.

Iowa will then notify the Trading Partner by email if the Trading Partner has passed the FROI tests and is approved to proceed with SROI testing.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 4: Business Content Test (SROI)

The business content test for SROI requires at a minimum the SROI MTCs **(02 04 AB CA CB CD EP ER FN IP NT PD PX PY RB SX UR AN)** to be tested in accordance with the Iowa R3.1 Test Plan. Because the SROI testing builds on the accepted FROIs, ensure that there is an adequate number of FROIs reported in Stage 3 to meet the SROI testing needs in Stage 4. For SROI reporting, special attention should be made for sequencing.

Upon completion of SROI minimum test transactions, the Trading Partner and/or their EDI Vendor must request testing review and approval from Iowa by submitting the **Iowa EDI Testing Feedback Request List R3.1** to Iowa's EDI email.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 5: Business Content Test (SROI)

After completing Stages 1 through 4, and being approved for Production, Stage 5 will complete the final steps will be completed to setup for Production Status for FROI and SROI reporting effective December 3, 2018.

Test/Production Indicator:

The Test/Production Indicator on the Header Record will change from T (Test) to P (Production) to indicate that the records contained in the file are production data.

Trading Partner Profiles must be kept up-to-date.



IOWA EDI CLAIMS WEBSITE OVERVIEW

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Note: This website is maintained by ISO, not the State of Iowa.

To access IWD Workers' Compensation Homepage:
www.iowaworkcomp.gov

Welcome to the Iowa Division of Workers Compensation (IWD-DWC) Claims Release 3.1 Electronic Data Interchange (EDI) Website

IWD DWC mandated the use of the EDI Release 2 standards for reporting of First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI) effective July 1, 2001. Iowa will continue to receive FROI and SROI EDI claims in Release 2 format until the implementation of Release 3.1, with the mandatory reporting period start date of December 3, 2018.

Questions regarding EDI Claims IAIABC Release 3.1 reporting in IWD-DWC should be sent to IWDDWCEDI@iso.com

1. To report electronically with IWD-DWC, complete a Trading Partner Profile Registration. Click on the link location in the navigation bar on the top.
2. To receive important announcements via email, join the IWD-DWC's EDI Mailing List. Please send an email to IWDDWCEDI@iso.com to be added to the list. Note: Contacts indicated on the Trading Partner Registration are automatically added.
3. Please be sure to check the "News & Updates" section of this website located on the navigation bar on the left for periodic updates on technical and business requirements.

Iowa EDI Contacts:

Janna Martin (Primary)

Iowa Division of Workers' Compensation
1000 East Grand Ave.
Des Moines, Iowa 50319
Phone: 515-725-3824

Mailing Address:

1000 East Grand Avenue
Des Moines, IA 50319-0209

Physical Address:

150 Des Moines Street
Des Moines, IA 50309

Sandy Breckenridge

Iowa Division of Workers' Compensation
1000 East Grand Ave.
Des Moines, Iowa 50319
Phone: 515-725-3820

Phone:

515-725-4120 or 800-645-4583

Iowa EDI Claims Website Overview

Where can I find the information that I need to assist with the EDI reporting to Iowa?

Help with EDI?



EDI Resources

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Web Links?



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EDI Requirements

Version 1.1 - Revision Date: 5/23/2018

Download the Requirements by clicking on the links below.

The Requirements are housed in three tables:

Event Table

This table relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information.



Element Requirements

This table lists the individual data element requirements

Edit Matrix

This table provides information on the edits that will apply to each data element and the edits that will be applied based on the population of the data element.

Iowa EDI Claim Website Overview



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The screenshot shows the website's header with the Iowa Workforce Development logo and the Division of Workers' Compensation. Below the header is a navigation bar with buttons for Home, What's New, and About ISO. A left-hand navigation menu is visible, with the 'Implementation Guide' item highlighted. Two blue arrows point from the 'Implementation Guide' menu item to the corresponding main content section, and from the 'Implementation Guide' menu item to the 'Iowa Rate Book Look Up Table' section.

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EDI Implementation Guide

Version 1.0 - Revision Date: 3/8/2018

Download the Guide by clicking on the link below.

- [Iowa DWC Claims EDI R3.1 Implementation Guide](#)

Iowa Rate Book Look Up Table

Iowa Ratebook Lookup Table Spreadsheet (1999-2018) Posted: 6/4/2018

- [Iowa Ratebook Lookup Table Spreadsheet \(1999-2018\)](#)

Iowa EDI Claim Website Overview

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Implementation Information

Master Sender ID/Receiver ID FROI/SROI

Please click on the following link for information on the Master Sender ID/Receiver ID.

-  [IWD-DWC Master Sender ID.pdf](#)

Implementation Schedule

Please click on the following link for the Iowa Division of Workers' Compensation (IWD DWC) Transition from IAIABC EDI Claims Release 2 to Claims Release 3.1 Timeline Summary.

-  [IAIABC-IWDDWC-ISO Transition Timelines-Release 2 to Release 3.1.pdf](#)

IWD DWC - EDI Claims Release 3.1 Test Plan and Test Plan Feedback Request List

-  [IWD Claims Release 3.1 Test Requirements.pdf](#)
-  [Iowa Claims Release 3.1 Test Feedback Request List.xlsx](#)



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IWD-DWC Master FEIN and Postal Code for EDI Reporting

Master FEIN: 426004546

Master Postal Code: 503190207

For the FROI (148) and SROI (A49) Header (HD1) Record:

- Trading Partners should populate the Sender ID-DN0098 with the Trading Partner's FEIN and Postal Code established per the Trading Partner Registration.
- Trading Partners should populate the Receiver ID-DN0099 with IWD-DWC'S Master FEIN: 426004546 and Master Postal Code: 503190207.

For the Acknowledgment (AKC) Header (HD1) Record:

- IWD-DWC will populate Sender ID-DN0098 with IWD-DWC'S Master FEIN: 426004546 and Master Postal Code: 503190207.
- IWD-DWD will populate Receiver ID-DN0099 with the Trading Partner's FEIN and Postal Code established per the Trading Partner Registration.

Iowa EDI Claims Website address: <https://IWDDWCedi.info>

Click on 'What's New' link to obtain access to News and Updates where you will find the announcements and other various information. For example, the recorded Iowa Electronic Data Interchange Claims Information Webinar will become available at a later date on this page.



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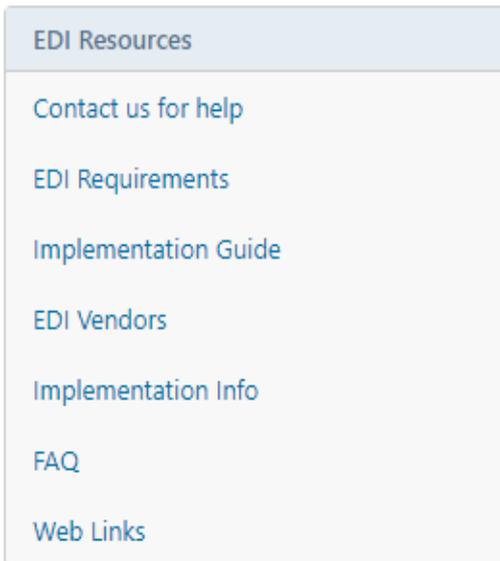
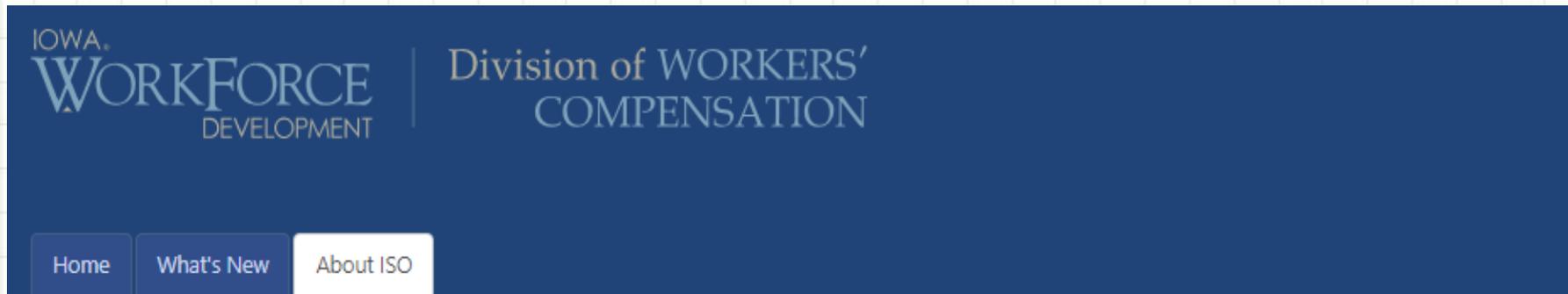
Trading Partner Registration

News and Updates

DESCRIPTION	DATE POSTED
 Iowa Update to RateBook Look Up Table Spreadsheet for (1999-2018)	6/6/2018
 Iowa EDI Claims Release 3.1, Version 1.1 Requirement Tables Are Now Available	5/23/2018
 Trading Partners Transmitting Directly (Direct SFTP) and EDI Vendor Testing for Mandatory Release 3.1 Reporting has begun	5/23/2018
 IWD DWC Claims Release 3.1 Electronic Data Interchange (EDI) Webinar Information Session	5/23/2018
 New R3.1 Implementation Guide, Transition Timeline Summary and Test Plan Now Available	4/9/2018
 New EDI Claims Release 3.1 Requirement Tables and Trading Partner Profile Registration Now Available	3/8/2018
 Iowa Division of Workers' Compensation (IWD DWC) EDI Claims Release 3.1 EDI Announcement	11/8/2017

Iowa EDI Claims Website address: <https://IWDDWCedi.info>

Click on the 'About ISO' link to learn more about ISO.



About ISO

Iowa Division of Workers Compensation (IWD-DWC) has selected ISO's Workers Compensation Solutions division to help implement our FROI and SROI EDI Reporting. In addition to managing the technical aspects of data submittal, ISO will be your main contact for implementation, technical requirements, and any questions you may have.

Since 1971, ISO has been a leading source of information about property/casualty insurance risk. For a broad spectrum of commercial and personal lines of insurance, ISO provides: statistical, actuarial, underwriting, and claims information and analytics; compliance and fraud identification tools; policy language; information about specific locations; and technical services. ISO serves insurers, reinsurers, agents and brokers, insurance regulators, risk managers, and other participants in the property/casualty insurance marketplace. ISO is a Verisk Analytics business.



IOWA'S EDI CLAIMS RELEASE 3.1 REQUIREMENTS OVERVIEW

EDI Claim Requirements Overview

Trading Partners should have an understanding of:

- What data format should be used?
- What EDI reports should be filed and when?
- What data is needed on the EDI reports?
- What edits will be applied to the EDI data?
- How Iowa communicates the status of EDI reports?
- What are the options for EDI submissions?

What data format should be used?

Iowa will transition EDI reporting for First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI) to the IAIABC EDI Claims Release 3.1 Standards.

What EDI reports should be filed and when?

Iowa Event Table:

- Comprised of:
 - Iowa Event Table Change Log
 - Form to MTC Crosswalk
 - FROI Event Table
 - SROI Event Table
 - SROI Periodic Event Table
- Defines the circumstances under which the claim information must be sent
- Sets the timeframes for sending the information
- Based on legislative mandates

Iowa Change Log Event Table Example

IWD DWC Revision Date	IWD DWC Published Date	IWD DWC Implementation Date	IWD DWC Change Number	IWD DWC Worksheet Changed	IWD DWC Row or Column Updated	IWD DWC Change Description: New Requirement	IWD DWC Change Reason/Purpose	IWD DWC Type of Change	IWD DWC Task ID	IWD DWC Version
6/11/2018		12/3/2018	EVT 1.2.1	Periodic	AN-Periodic Qualifier Activity	AN-Periodic Qualifier Activity change to: IL = Indemnity (If Claim Type Code = Indemnity or Became Lost Time)	Previous code implied Periodics were due on MO claims and they are not.	Documentation Change		1.2
6/21/2018		12/3/2018	EVT 1.2.2	Form to MTC Crosswalk	FROI and SROI UR Comments	All UR's filed for Open Claims by 2-1-19, or after 2-1-19 for additional re-opened claims. Sets the picture for R3.1	Wanted to clarify when UR's were due, and that you send a UR if a closed legacy claim re-opens after R3.1 Implementation	Documentation Change		1.2
6/21/2018		12/3/2018	EVT 1.2.3	FROI	FROI UR-Update Report, Trigger Value Note revised	Added to Note: If a claim re-opens after 2-1-19, then the same Report Trigger shown above should be followed.	Wanted to clarify that you send a UR if a closed legacy claim re-opens after R3.1 Implementation	Documentation Change		1.2
6/21/2018		12/3/2018	EVT 1.2.4	SROI	SROI UR-Update Report, Trigger Value Note revised	Added to Note: If a claim re-opens after 2-1-19, then the same Report Trigger shown above should be followed.	Wanted to clarify that you send a UR if a closed legacy claim re-opens after R3.1 Implementation	Documentation Change		1.2

Form to MTC Crosswalk

Iowa sample of Form to MTC Crosswalk illustrates

- the MTC EDI equivalent of previously filed forms
- if the MTC will be accepted electronically

First Report of Injury (FROI - 148 & R21) and Subsequent Report of Injury (SROI A49 & R22) Refer to Systems Rules - Transmissions						
Report Type	Paper Equivalent Form(s)	Comments	MTC	MTC Description	MTC Definition	Will this report be accepted electronically? (Yes/No)
FROI	N/A		00	Original	The original/initial first report transmitted between partners, including the re-transmission of a first report that was rejected due to a critical error.	Yes
FROI	N/A		01	Cancel Entire Claim	The original first report was sent in error.	Yes
FROI	N/A		CO	Correction	Corrected data element values are transmitted in response to an acknowledgment containing non-critical errors.	No
FROI	N/A		UI	Under Investigation	A determination has not yet been made as to whether this is a compensable claim. This MTC may be sent as the First Report.	No
FROI	N/A	All UR's filed for Open Claims by 2-1-19, or after 2-1-19 for additional re-opened claims. Sets the picture for R3.1	UR	Update Report	Submitted on a legacy claim as defined by the jurisdiction's Event Table. Refer to the Legacy Claims Processing Rules in Section 4. The FROI UR is intended to match a claim previously reported to the state and/or initiate EDI reporting. The FROI UR may or may not be required prior to submitting the SROI UR if the JCN has already been communicated to the Claim Administrator. Refer to Legacy Processing Rules in Section 4 and Migration Document for more information.	Yes

What EDI reports should be filed and when?

Event Table Example of First Report of Injury
Reporting Requirements

Maintenance Type Code (MTC) 00 - Original

What EDI reports should be filed and when?

FROI Event Table:

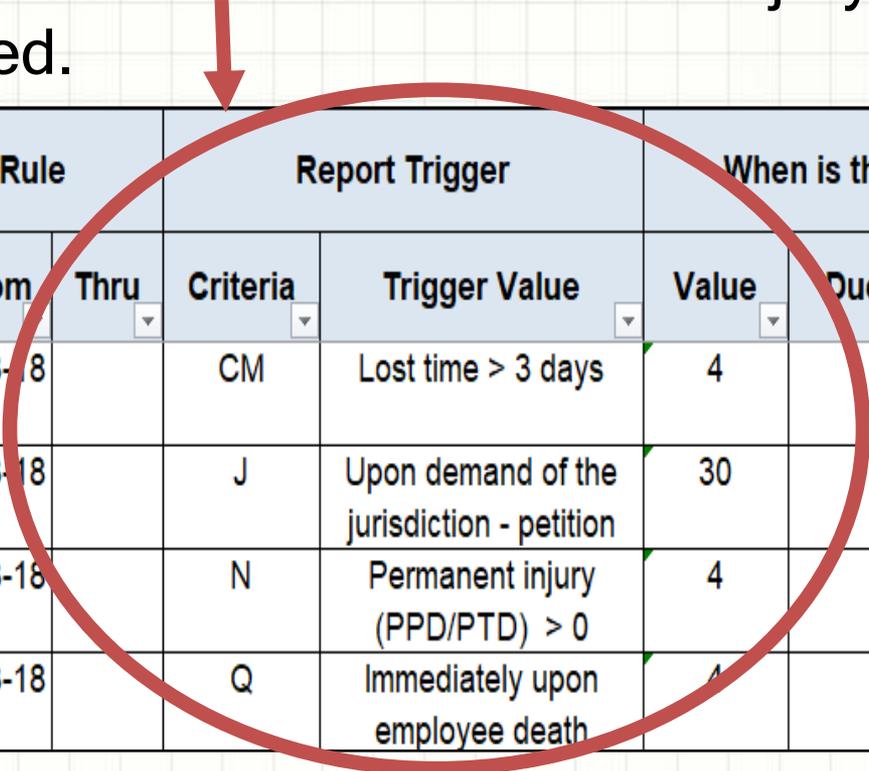
Iowa requires the submission of a *FROI 00 Original* Effective 12/3/2018

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
FROI	00	Original	2	12-3-18		CM	Lost time > 3 days	4	B	C or F
FROI	00	Original	2	12-3-18		J	Upon demand of the jurisdiction - petition	30	C	E
FROI	00	Original	2	12-3-18		N	Permanent injury (PPD/PTD) > 0	4	B	C or F
FROI	00	Original	2	12-3-18		Q	Immediately upon employee death	4	B	I

What EDI reports should be filed and when?

FROI Event Table:

FROI 00 Report Trigger Value indicates that a report should be sent for any claim where the claimant has lost time greater than 3 days; or update demand of the jurisdiction; or if Permanent Partial or Total has been paid; or an employee death is the result of an injury, and the claim is not denied.



Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
FROI	00	Original	2	12-3-18		CM	Lost time > 3 days	4	B	C or F
FROI	00	Original	2	12-3-18		J	Upon demand of the jurisdiction - petition	30	C	E
FROI	00	Original	2	12-3-18		N	Permanent injury (PPD/PTD) > 0	4	B	C or F
FROI	00	Original	2	12-3-18		Q	Immediately upon employee death	4	B	I

What EDI reports should be filed and when?

FROI Event Table:

The FROI 00 Report with Lost Time greater than 3 days is due 4 Business Days from the date that the Employer or Claim Administrator is notified of the claim.

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
FROI	00	Original	2	12-3-18		CM	Lost time > 3 days	4	B	C or F
FROI	00	Original	2	12-3-18		J	Upon demand of the jurisdiction - petition	30	C	E
FROI	00	Original	2	12-3-18		N	Permanent injury (PPD/PTD) > 0	4	B	C or F
FROI	00	Original	2	12-3-18		Q	Immediately upon employee death	4	B	I

FROI & SROI 02 Events

Trigger Criteria Codes were added to clarify 02 Change reporting timelines:

Timeline is described. 02 change is due when data described on their Element Requirement table changes up to the last accepted FN (Final). No 02 should be sent after an accepted FN.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger	
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value
3.1	FROI	02	Change	2	12-3-18		R = 02 Change timeline: FROI 02 is due when a <i>reportable change</i> * occurs on the Claim Administrator's database until Report <i>Trigger Value</i> is reached	B - 0 Days from last accepted FN aka: No 02 after FN.

FROI 04 Event

Iowa requires a FROI 04 to be reported when

- a new claim is denied in its entirety (W1). No previous 00 or AU

Report Type	Maintenance Type		Event Rule			Report Trigger	
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value
FROI	04	Denial	2	12-3-18		W1	Claim is being denied and 00 or AU FROI has not been accepted

What EDI reports should be filed and when?

SROI Event Table

Example of a Subsequent Report of Injury

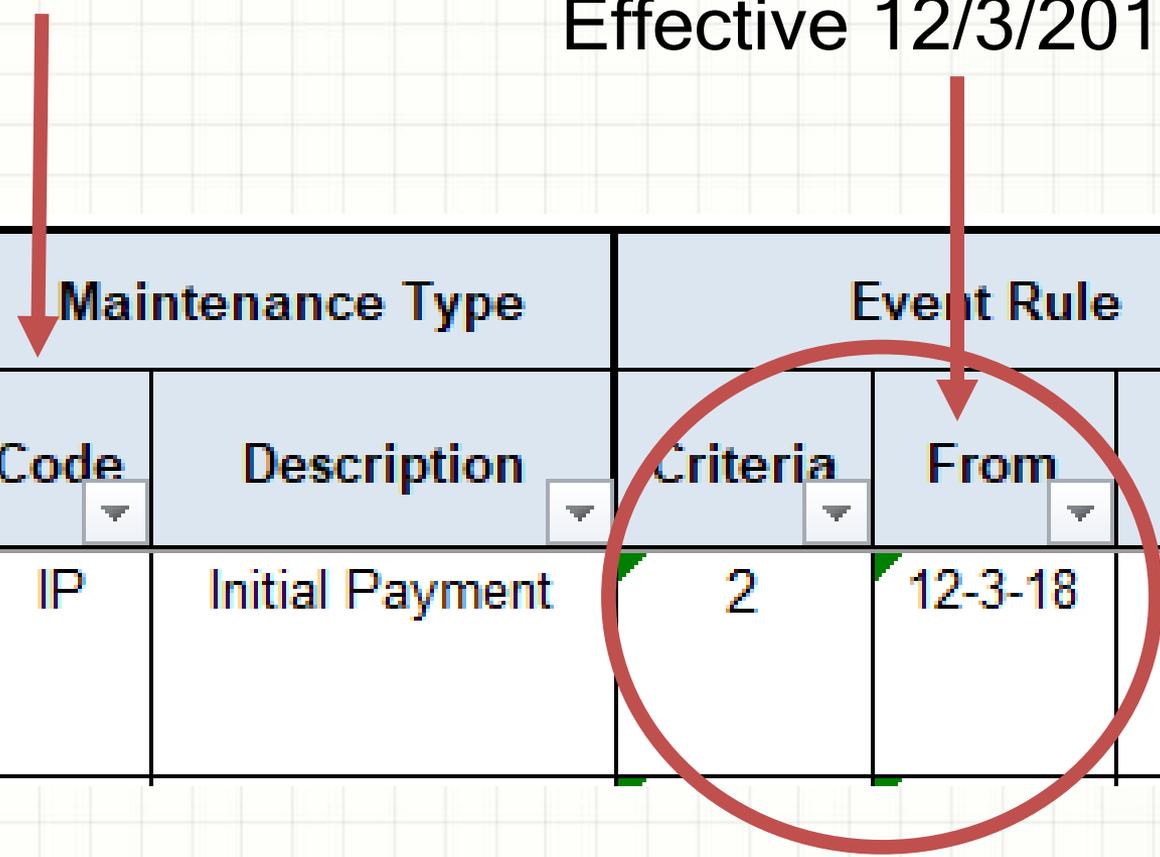
MTC IP – Initial Payment report requirement.

What EDI reports should be filed and when?

SROI Event Table:

Iowa requires the submission of a *SROI IP Initial Payment*

Effective 12/3/2018



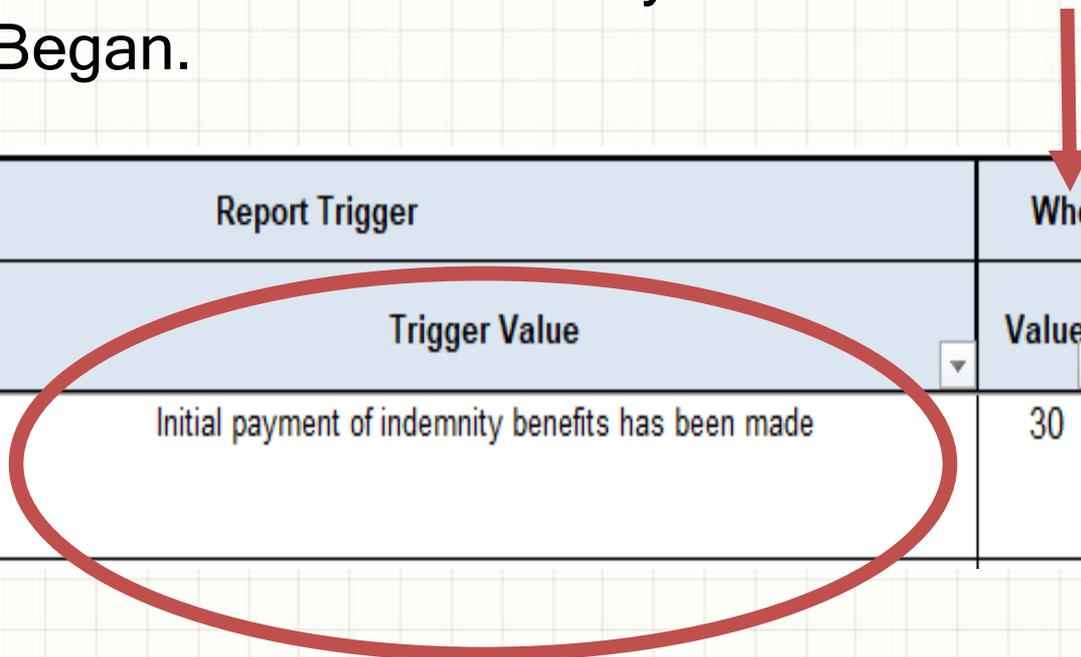
Release	Report Type	Maintenance Type		Event Rule		
		Code	Description	Criteria	From	Thru
3.1	SROI	IP	Initial Payment	2	12-3-18	

What EDI reports should be filed and when?

SROI Event Table:

SROI IP Initial Payment Report Trigger Value indicates that a report should be sent for any claim where the claim administrator has issued the initial payment of an indemnity benefit.

The report is due 30 calendar days from the Initial Date Disability Began.



Report Trigger		When is the Report Due?		
Criteria	Trigger Value	Value	Due Type	From
J = Jurisdiction Defined	Initial payment of indemnity benefits has been made	30	C	B

What EDI reports should be filed and when?

SROI Periodic Event Table

Example of a Subsequent Report of Injury

MTC AN – Annual report requirement.

What EDI reports should be filed and when?

SROI Periodic Event Table:

Iowa requires the submission of a *SROI AN Annual Report*

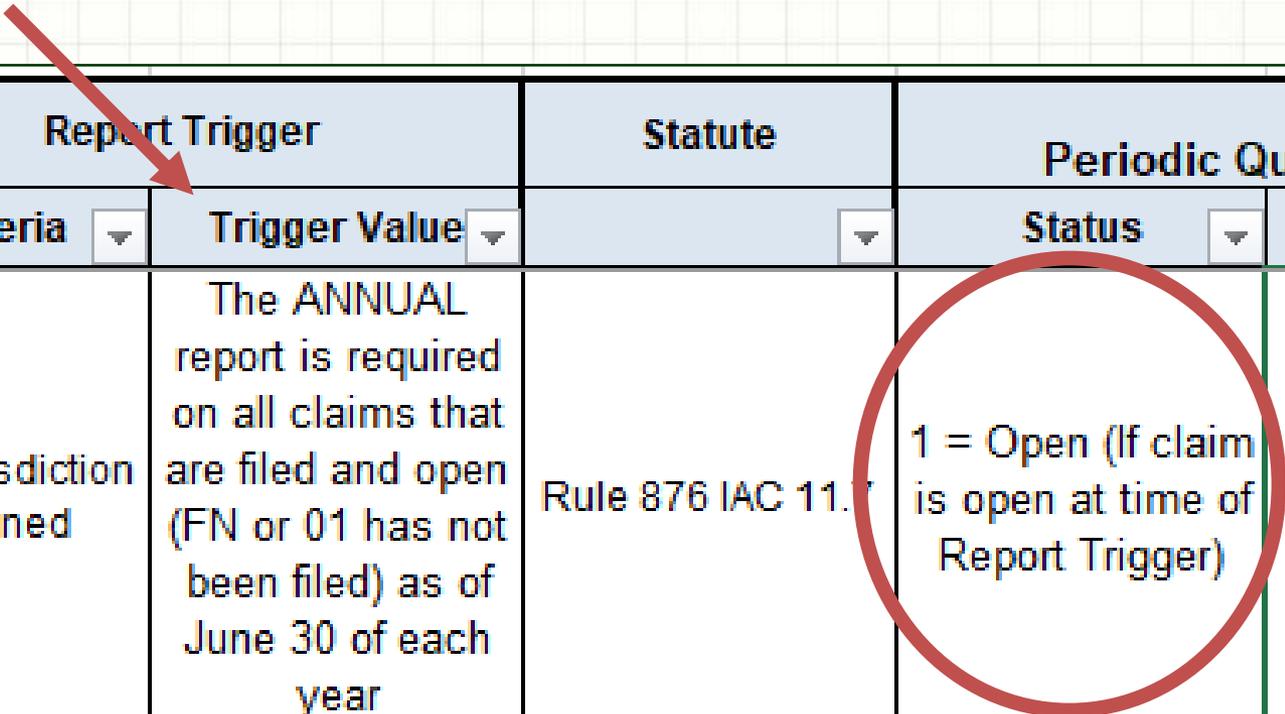
Effective 12/3/18

Release	Report Type	Maintenance Type		Event Rule		
		Code	Description	Criteria	From	Thru
3.1	SROI	AN	Annual	2	12-3-18	

What EDI reports should be filed and when?

SROI Periodic Event Table:

Iowa's Report Trigger value requires the submission of a *SROI AN Annual Report* for ongoing open claims that are open as of the time of the report trigger.



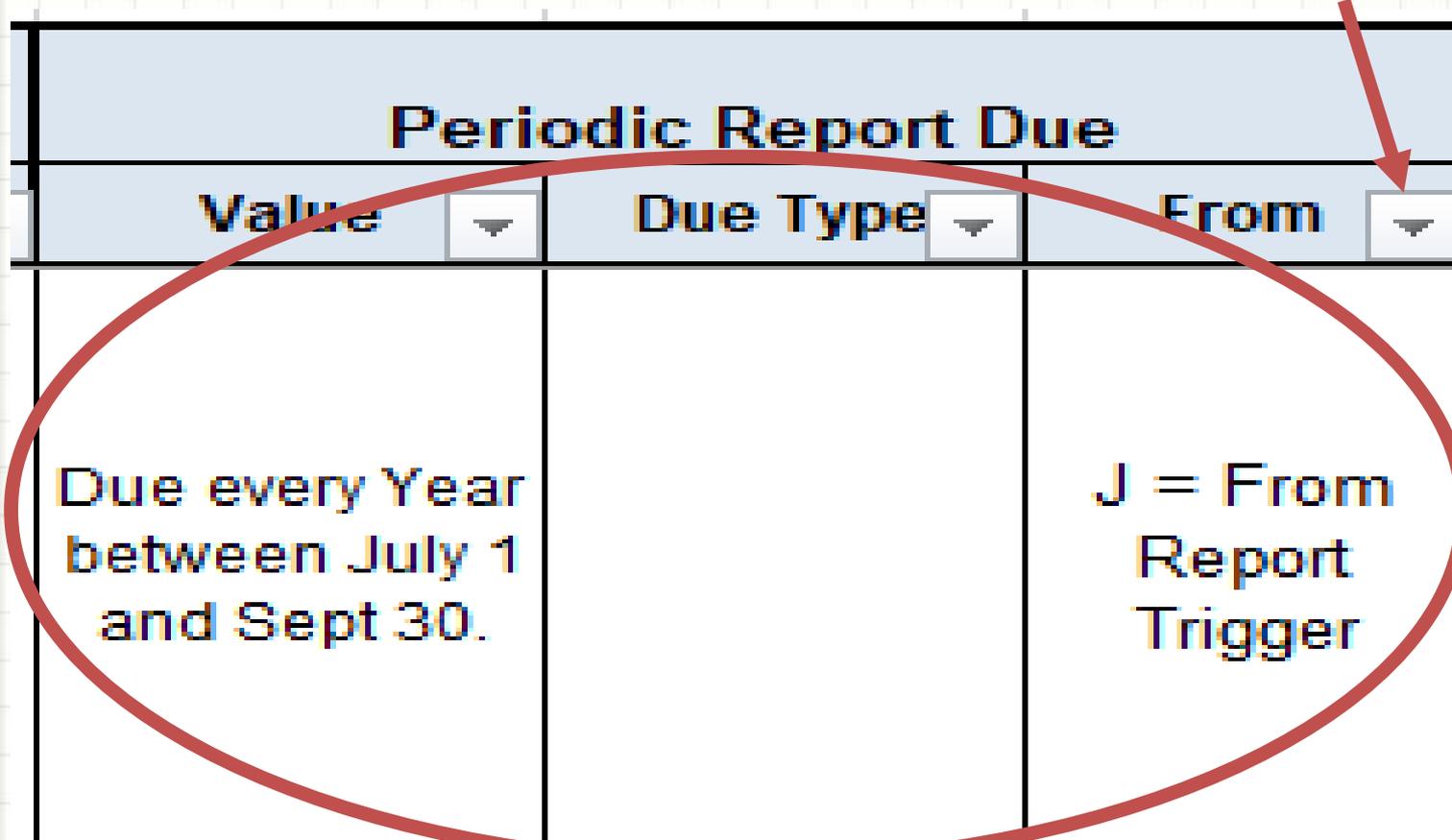
Report Trigger		Statute	Periodic Qualifiers	
Criteria	Trigger Value		Status	Activity
J = Jurisdiction Defined	The ANNUAL report is required on all claims that are filed and open (FN or 01 has not been filed) as of June 30 of each year	Rule 876 IAC 11.7	1 = Open (If claim is open at time of Report Trigger)	IL = Indemnity (If Claim Type Code = Indemnity or Became Lost Time)

What EDI reports should be filed and when?

SROI Periodic Event Table:

Iowa's SROI AN Annual Reports reports are due every year between July 1st and Sept 30th until Closure.

Periodic Report Due		
Value <input type="text"/>	Due Type <input type="text"/>	From <input type="text"/>
Due every Year between July 1 and Sept 30.		J = From Report Trigger



What data is needed on the EDI reports?

The Element Requirement Table indicates the data that is needed on the EDI reports.

It defines each Data Element requirement for each transaction (FROI or SROI) at the Maintenance Type Code (MTC) level.

What data is needed on the EDI reports?

The Element Requirement Table contains 9 worksheets:

- Iowa Change Log
- FROI Element Requirements
- FROI 02 Exceptions
- FROI Conditions
- SROI Element Requirements
- SRAOI 02 Exceptions
- SROI Conditions
- Event Benefit Segment Requirements
- Event Benefit Conditions

What data is needed on the EDI reports?

Each MTC and Data Element has a Requirement Code assigned. Requirement Codes express Iowa's requirement severity by data element and report type (FROI or SROI MTCs).

Legend for Requirement Code (Description) = resulting Application Acknowledgment Code

Requirement Code	Result of Failed Element Requirement Edit
F (Fatal)	TR (Transaction Rejected)
M (Mandatory)	TR (Transaction Rejected)
MC (Mandatory/Conditional)	TR (Transaction Rejected)
E (Expected)	TE (Transaction Accepted with Errors)
EC (Expected/Conditional)	TE (Transaction Accepted with Errors)
AA (If Applicable/Available Transaction Accepted)	TA (Transaction Accepted)
AE (If Applicable/Available Transaction Accepted with Errors)	TE (Transaction Accepted with Errors)
AR (If Applicable/Available Transaction Rejected)	TR (Transaction Rejected)
NA (Not Applicable)	TA (No error messages may be applied)
X (Exclude)	TA (No error messages may be applied)
For Benefit Segment Data Element Requirement Table	
R (Restricted)	TR (Transaction Rejected)
RC (Restricted/Conditional)	TR (Transaction Rejected)

What data is needed on the EDI reports?

FROI Element Requirement Table:

Each MTC and Data Element has a Requirement Code assigned. This example shows F: Fatal and M: Mandatory on specific MTCs. Both will cause the transactions to be rejected if the data elements are missing or invalid.

REC	DN#	DATA ELEMENT NAME	FORMAT		00	01	02				04	AQ	AU	UR
							Reportable Change							
				Match Data?			02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)			
148	0001	Transaction Set ID	3 A/N		F	F	F	B	B	B	B	F	F	F
148	0002	Maintenance Type Code	2 A/N	Y	F	F	F	B	B	B	B	F	F	F
148	0003	Maintenance Type Code Date	DATE	Y	F	F	F	B	B	B	B	F	F	F
148	0004	Jurisdiction Code	2 A/N		F	F	F	B	B	B	B	F	F	F
148	0005	Jurisdiction Claim Number	25 A/N	Y	mc	m	m	B	N	B	B	mc	NA	mc
148	0006	Insurer FEIN	9 A/N		m	m	m	N	K	B	N	m	m	m
148	0012	Claim Administrator City	15 A/N		M	M	MC	N	Y	B	N	M	M	M
148	0013	Claim Administrator State Code	2 A/N		M	M	MC	N	Y	B	Y	M	M	M
148	0014	Claim Administrator Postal Code (Mailing)	9 A/N		M	M	M	N	K	B	N	M	M	M
148	0015	Claim Administrator Claim Number (Key Match)	25 A/N		F	F	F	B	K	B	B	F	F	F
148	0016	Employer FEIN	9 A/N		M	M	MC	N	K	B	N	MC	M	M

What data is needed on the EDI reports?

Element Requirement Table: This example shows Requirement Codes AR (If Applicable/Available Transaction Rejected) for DN0025 Industry Code for MTC AQ (Acquired Unallocated). If data is sent for a DN defined as 'AR' and it fails edits, the acknowledgment will include an error and the report will be rejected.

REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR
						Reportable Change							
				Match Data?		02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)			
148	0015	Claim Administrator Claim Number (Key Match)	25 A/N	F	F	F	B	K	B	B	F	F	F
148	0016	Employer FEIN	9 A/N	M	M	MC	N	K	B	N	MC	M	M
148	0021	Employer Physical City	15 A/N	AA	NA	MC	Y	Y	B	N	AA	AA	AA
148	0022	Employer Physical State Code	2 A/N	AA	NA	MC	Y	Y	B	Y	AA	AA	AA
148	0023	Employer Physical Postal Code	9 A/N	AA	NA	MC	K	K	B	N	AA	AA	AA
148	0025	Industry Code	6 A/N	M	NA	MC	N	Y	B	N	M	AR	M
148	0027	Insured Location Identifier	15 A/N	NA	NA	NA	N	N	B	N	NA	NA	NA
148	0028	Policy Number Identifier	18 A/N	NA	NA	NA	N	N	B	N	NA	NA	NA
148	0029	Policy Effective Date	DATE	NA	NA	NA	N	N	B	N	NA	NA	NA
148	0030	Policy Expiration Date	DATE	NA	NA	NA	N	N	B	N	NA	NA	NA
148	0031	Date of Injury	DATE	m	m	m	B	K	B	B	m	m	m

What data is needed on the EDI reports?

Element Requirement Table:

This example shows Employer Physical City (DN0021) with a Requirement Code NA (Not Applicable) for MTC 01 'Cancel Entire Claim'. If the data is sent for a DN defined as NA, no error will be returned if the data is invalid.

REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data?	00	01	02				04	AQ	AU	UR	
							02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)				
							Reportable Change								
148	0015	Claim Administrator Claim Number (Key Match)	25 A/N		F	F	F	B	K	B	B	F	F	F	F
148	0016	Employer FEIN	9 A/N		M	M	MC	N	K	B	N	MC	M	M	M
148	0021	Employer Physical City	15 A/N		AA	NA	MC	Y	Y	B	N	AA	AA	AA	AA
148	0022	Employer Physical State Code	2 A/N		AA	NA	MC	Y	Y	B	Y	AA	AA	AA	AA
148	0023	Employer Physical Postal Code	9 A/N		AA	NA	MC	K	K	B	N	AA	AA	AA	AA
148	0025	Industry Code	6 A/N		M	NA	MC	N	Y	B	N	M	AR	M	AR
148	0027	Insured Location Identifier	15 A/N		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0028	Policy Number Identifier	18 A/N		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0029	Policy Effective Date	DATE		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0030	Policy Expiration Date	DATE		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0031	Date of Injury	DATE		m	m	m	B	K	B	B	m	m	m	m

What data is needed on the EDI reports?

Element Requirement – Conditions:

Certain data elements have *Conditions* that make them mandatory if the condition exists. These are indicated with the *MC* requirement code such as DN0016 Employer FEIN for MTC 04 Denial.

REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data?	00	01	02				04	AQ	AU	UR	
							02 Requirement Code	A (Add)	U (Update)	D (Delete)					R (Remove)
148	0015	Claim Administrator Claim Number (Key Match)	25 A/N		F	F	F	B	K	B	B	F	F	F	F
148	0016	Employer FEIN	9 A/N		M	M	MC	N	K	B	N	MC	M	M	M
148	0021	Employer Physical City	15 A/N		AA	NA	MC	Y	Y	B	N	AA	AA	AA	AA
148	0022	Employer Physical State Code	2 A/N		AA	NA	MC	Y	Y	B	Y	AA	AA	AA	AA
148	0023	Employer Physical Postal Code	9 A/N		AA	NA	MC	K	K	B	N	AA	AA	AA	AA
148	0025	Industry Code	6 A/N		M	NA	MC	N	Y	B	N	M	AR	M	AR
148	0027	Insured Location Identifier	15 A/N		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0028	Policy Number Identifier	18 A/N		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0029	Policy Effective Date	DATE		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0030	Policy Expiration Date	DATE		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0031	Date of Injury	DATE		m	m	m	B	K	B	B	m	m	m	m

What data is needed on the EDI reports?

Conditions are defined on a separate table:

- Conditional Requirement Code
- Data Element
- Business Condition(s)
- Technical Condition(s)

Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
MC	04	0016	Employer FEIN	For FROI MTC 04 Denial, required if Full Denial Reason Code (DN0198) not = 3E (No Coverage - No policy in effect on the date of accident) or 3D (No Coverage - No jurisdiction).	For FROI MTC 04 Denial then required if Full Denial Reason Code (DN0198) not = 3E or 3D.

What data is needed on the EDI reports?

Element Requirement – 02 Changes:

The 02 Requirement Code column will indicate if a DN is F (Fatal) or M (Mandatory) and also indicates MC with a blue highlight to indicate a standard IAIABC condition applies.

REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR	
							Reportable Change							
				Match Data?		02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)				
148	0031	Date of Injury	DATE	m	m	m	B	K	B	B	m	m	m	m
148	0032	Time of Injury	HHMM	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0033	Accident Site Postal Code	9 A/N	MC	NA	MC	Y	Y	B	N	MC	NA	MC	AR
148	0035	Nature of Injury Code	2 A/N	m	NA	m	N	Y	B	N	m	NA	m	AR
148	0037	Cause of Injury Code	2 A/N	m	NA	m	N	Y	B	N	m	NA	m	AR
148	0039	Initial Treatment Code	2 A/N	NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0040	Date Employer Had Knowledge of the Injury	DATE	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0041	Date Claim Administrator Had Knowledge of Injury	DATE	AR	NA	MC	Y	Y	B	N	AR	NA	AR	AR
148	0044	Employee First Name	15 A/N	m	m	m	N	K	B	N	m	m	m	m
148	0048	Employee Mailing City	15 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0049	Employee Mailing State Code	2 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0050	Employee Mailing Postal Code	9 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0052	Employee Date of Birth	DATE	m	NA	m	N	K	B	N	m	AR	m	m

02 Conditions

A light blue MC (Mandatory Conditional) requirement code is pre-populated in the *02* Requirement Code column to support the *02 Change Process*.

The example below illustrates an “02” in the MTC column to distinguish this condition is for the 02 MTC only.

When a (any) data element number is indicated in the *Change Data Element/Segment Number* (DN0412), it becomes mandatory unless it is being removed with *Change Reason Code* (DN0413) is R – Remove.

FROI DATA ELEMENT				
Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)
MC	02		varies by DN0412 <i>Change Data Element/Segment Number</i> in the <i>Change Data Elements</i> segment	mandatory when DN0412 <i>Change Data Element/Segment Number</i> = DN# in column B and DN0413 <i>Change Reason Code</i> = A (added) or U (Updated). NOT R (removed)

What data is needed on the EDI reports?

Element Requirement – 02 Changes:

MTC 02's have different requirement codes depending on the Change Reason Code. These are called Reportable Change Codes and express Iowa's requirement for when to send an MTC 02 Change and on what transaction, FROI or SROI.

REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR	
						02 Requirement Code	Reportable Change							
				Match Data?			A (Add)	U (Update)	D (Delete)	R (Remove)				
148	0044	Employee First Name	15 A/N	m	m	m	N	K	B	N	m	m	m	m
148	0048	Employee Mailing City	15 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0049	Employee Mailing State Code	2 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0050	Employee Mailing Postal Code	9 A/N	M	NA	MC	N	Y	B	N	M	NA	M	AR
148	0052	Employee Date of Birth	DATE	m	NA	m	N	K	B	N	m	AR	m	m
148	0053	Employee Gender Code	1 A/N	M	NA	MC	N	Y	B	N	M	AR	M	AR
148	0054	Employee Marital Status Code	1 A/N	AR	NA	MC	J	J	B	N	AR	AR	AR	AR
148	0055	Employee Number of Dependents	2 N	NA	NA	NA	N	N	B	N	NA	NA	NA	NA
148	0056	Initial Date Disability Began	DATE	AR	NA	MC	J	J	B	N	AR	AR	AR	AR
148	0057	Employee Date of Death	DATE	MC	NA	MC	J	J	B	J	MC	AR	MC	AR
148	0058	Employment Status Code	2 A/N	AR	NA	MC	N	J	B	N	AR	AR	AR	AR

What data is needed on the EDI reports?

REPORTABLE CHANGE CODES are as follows, some of which may not be utilized on the Iowa tables :

Reportable Change Codes: Used in MTC 02 Reportable Change columns labeled A (Add) , U, (Update), R (Remove) and D (Delete)

Note: See IAIABC Release 3.1 Guide 02 Change Processing Rules in Section 4 for the full definitions.

B: Restricted - IAIABC Defined No Change Allowed

N: No Change Allowed - Will Reject if Present

H: No Change Required - Will Not Reject if Present

K: Required Change on FROI

KG: Required Change on FROI with Exception

Y: Required Change on FROI or on SROI not both

YG: Required Change on FROI or on SROI not both with Exception

I: Required Change on Either FROI or SROI not both – Claim Administrator Determines

IG: Required Change on Either FROI or SROI not both with Exception – Claim Administrator Determines

J: Required Change by Transaction Type - Change on SROI if Accepted otherwise Change on FROI

JG: Required Change by Transaction Type with Exception - Change on SROI if Accepted otherwise Change on FROI

Note: For Y, YG, J, JG, K and KG: Refer to the first column 'On FROI, SROI or Both' of the FROI Element Requirements and SROI Element Requirement Table for the indication of location of DN's. If value is not = Both then there is an indication of FROI (only on FROI) and SROI (only on SROI).

02 Exceptions

Exceptions are described in the *FROI* or *SROI 02 Exceptions* tab for all reportable change codes ending with “G”. Examples from a completed SROI 02 Exceptions Tab are below:

02 MTC		SROI DATA ELEMENT			
Note: For MTC 02: If there is a Reportable Change Code of KG, JG, IG, YG on the Element Requirement Table then there is an entry here that indicates when a MTC 02 should be					
Req Code	Change Reason Code	DN#	DATA ELEMENT NAME	EXCEPTIONS	IAIABC NOTE
YG	A	0085	Benefit Type Code	An 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).	refer to Code Usage Limitations in <i>02 Change Processing Rules</i> in Section 4 of Claims Release 3.1 implementation guide
YG	U	0086	Benefit Type Amount Paid	An 02 Change may be sent to Update the Benefit Type Amount Paid to a value less than previously reported if Reduced Benefit Amount Code = R (reclassified) or D (Decrease in Indemnity) OR DN0226 Recovery Code = 830 (Overpayment Recovery) or 880 (Voided Indemnity Check Recovery)	
YG	A	0086	Benefit Type Amount Paid	Refer to DN0085 Benefit Type Code Add Exception as the same exception applies to this DN.	refer to Code Usage Limitations in <i>02 Change Processing Rules</i> in Section 4 of Claims Release 3.1 implementation guide
YG	A	0087	Net Weekly Amount	Refer to DN0085 Benefit Type Code Add Exception as the same exception applies to this DN.	refer to Code Usage Limitations in <i>02 Change Processing Rules</i> in Section 4 of Claims Release 3.1 implementation guide

What edits will be applied to the EDI data?

The Iowa Edit Matrix defines the edits that will be applied to the EDI data. It conveys each specific edit that will be applied to each data element and provides the standard error messages associated with these edits.

What edits will be applied to the EDI data?

The Edit Matrix answers that question.

Iowa Edit Matrix Change Log: summary of all changes to Edit Matrix from one version to another

DN-Error Message: “standard” editing developed for Release 3.1 data elements and Iowa specific edits

Value Table: expresses acceptable code values

Valid Value Detail Page 1 and 2: another version of the value table showing the code values & definitions & what Iowa accepts

Match Data: describes the data elements used to determine if the report will create a new claim or find an existing claim

Population Restrictions: contains any restrictions applied to the data element(s)

Sequencing Table: contains MTC types with the order/sequence that they can be sent

PI Body Part Codes: NA for IWDWC

DN Error Message Table

Error Message Numbers and associated descriptions are listed across the top of the table.

Edit Matrix Population Legend:

F = Edit applies to the data elements deemed essential for a transmission/transaction to be processed.

L = *Not grayed out: Edit applies to the data elements based on the requirements indicated on the Element Requirement Table.

*Grayed out: The standard edit will not be applied by the jurisdiction.

Relaxed Requirement Edits:

L = Claim: requirement is limited to "conditional" on new claims reported in R3 environment because the data may not (and may never be) available on legacy claims.

001	Mandatory field not present
018	Number of Days Worked must be 0-7
019	Days must be 0-6
028	All digits must be 0-9
029	Must be a valid date (CCYYMMDD)
030	Must be A-Z, 0-9, or spaces
031	Must be a valid time
033	Must be <= Date of Injury
034	Must be >= Date of Injury

Jurisdiction	001	018	019	028	029	030	031	033	034	035	036	037	038	039	040	041
Y																
F	F															
F	P														76	
F	F									L						L
F	P	F								L						

DN Error Message Table

N in the “Jurisdiction will apply edits?” column: This indicates that the edits will not be applied to the data element at all.

An L for a data element means the corresponding “Logical” edit will be applied. If an L is grayed out, this means the edit will not be applied to the specific data element.

All other non-grayed L’s for that data element will be applied

Edit Matrix Population Legend:
 F = Edit applies to the data elements deemed essential for a transaction to be processed.
 L = *Not grayed out: Edit applies to the data elements based on the requirements indicated on the Element Requirement Table.
 *Grayed out: The standard edit will not be applied by the jurisdiction.

Relaxed Requirement Edits:
 L = Claim: requirement is limited to "conditional" on new claims in the R3 environment because the data may not (and may never be) available.
 V = Event: requirement is limited to "conditional" on claims where the claim was "initiated" or "reinstated" in R3 because the data may not have been available when time payments were started in the R1 environment.
 NI = No migration impact.

Jurisdiction will apply edits?:
 F = Essential data element; must be edited for successful transaction.
 Y = Yes - indicates that all edits marked for the data element will be based on conditions defined in the Element Requirement Table.
 N = No - indicates that none of the standard edits marked for the data element will be applied.

For Population Restrictions:
 For Data Elements that have certain 'population values' allowed for that data element, a "P" is indicated in the 'Population Restrictions Indicator' column.

IAIABC Data Element Name	Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6	All digits must be 0-9	Must be a valid date (CCYYMMDD)	Must be a valid date (CCYYMMDD)	Must be A-Z, 0-9, or spaces	Must be a valid time	Must be <= Date of Injury	Must be >= Date of Injury	Must be >= Initial Date Disability Began	Must be <= Employee Date of Death	Must be <= Maintenance Type Code Date	Must be >= Start Date	No match on database	All digits cannot be the same	Must be <= current date
Entire Batch		N		L				L												
Transaction Set ID		N		L				L												
Maintenance Type Code		Y	P	L			L													
Maintenance Type Code Date		Y	P	L								L							78	L



Valid Value Detail Page 1 & 2

A cheat sheet summary of all codes and descriptions. Greyed codes are not accepted by Iowa.

MAINTENANCE TYPE CODE (MTC's) (DN0002)			
FIRST REPORT:			
00	Original	AQ	Acquired Claim
01	Cancel	CO	Correction
02	Change	UI	Under Investigation
04	Denial	UR	Upon Request (Grandfathered)
AU	Acquired/Unallocated	UR	Update Report
SUBSEQUENT REPORT:			
02	Change	PY	Payment Report
04	Denial	PX	Partial Suspension
AB	Add Concurrent Benefit Type	RB	Reinstatement of Benefit
AC	Acquisition/Indemnity Ceased	SU	Sync Up
AP	Acquired/Payment	SX	Full Suspension
CA	Change in Benefit Amount	UI	Under Investigation
CB	Change in Benefit Type	UR	Upon Request (Grandfathered)
CD	Compensable Death - No Known Dependents/Payees	UR	Update Report
CO	Correction	VE	Volunteer
EP	Employer Paid	AN	Annual
ER	Employer Reinstatement	BM	Bi-Monthly
FN	Final	BW	Bi-Weekly
IP	Initial Payment	MN	Monthly
NT	Narrative	QT	Quarterly
PD	Partial Denial	SA	Sub-Annual

Match Data

To match incoming reports to reports in Iowa's database for processing, Iowa identifies their primary "match" data element values indicated by 'P' for Primary.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		
	0015	Claim Administrator Claim Number				
Claimant		Employee ID				
		▪ Employee SSN – Preferred (DN0042)	P	S	S	S
		▪ Employee Green Card (DN0153)	P	S	S	S
		▪ Employee Employment Visa (DN0152)	P	S	S	S
		▪ Employee ID Assigned by Jurisdiction (DN0154)	P	S	S	S
		▪ Employee Passport Number (DN0156)	P	S	S	S
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
	0044	Employee First Name	P	S	P	P
0052	Employee Date of Birth	P	S	S	S	
Claim Administrator	0187	Claim Administrator FEIN	P	S		S
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P 81
	0003	Maintenance Type Code Date		P	P	P

Match Data

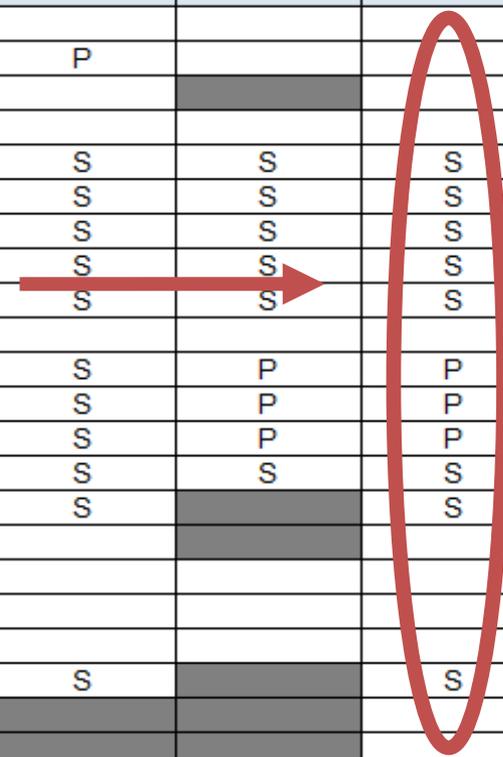
Iowa's Secondary Match Data Elements are indicated by 'S' Secondary as shown. In the case of 'Existing Claims' JCN, MTC and MTC Date are used as Primary and the other DN's indicated with 'S' are used as Secondary.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		
	0015	Claim Administrator Claim Number				
Claimant		Employee ID				
		▪ Employee SSN – Preferred (DN0042)	P	S	S	S
		▪ Employee Green Card (DN0153)	P	S	S	S
		▪ Employee Employment Visa (DN0152)	P	S	S	S
		▪ Employee ID Assigned by Jurisdiction (DN0154)	P	S	S	S
		▪ Employee Passport Number (DN0156)	P	S	S	S
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
	0044	Employee First Name	P	S	P	P
0052	Employee Date of Birth	P	S	S	S	
Claim Administrator	0187	Claim Administrator FEIN	P	S		S
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P 82
	0003	Maintenance Type Code Date		P	P	P

Match Data

Iowa also has a column on their Match Data Table to communicate the Primary and Secondary Match data that is used to match to Legacy Claims (claims accepted in Release 2).

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		
	0015	Claim Administrator Claim Number				
Claimant		Employee ID				
		▪ Employee SSN – Preferred (DN0042)	P	S	S	S
		▪ Employee Green Card (DN0153)	P	S	S	S
		▪ Employee Employment Visa (DN0152)	P	S	S	S
		▪ Employee ID Assigned by Jurisdiction (DN0154)	P	S	S	S
		▪ Employee Passport Number (DN0156)	P	S	S	S
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
	0044	Employee First Name	P	S	P	P
0052	Employee Date of Birth	P	S	S	S	
Claim Administrator	0187	Claim Administrator FEIN	P	S		S
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P ⁸³
	0003	Maintenance Type Code Date		P	P	P



Match Data

Additional Confirmation: When a match is found on the primary or secondary “match” data elements, these data elements can be used as ‘additional’ confirmation if the claim is a duplicate, for situations where there may be multiple accidents for the same Date of Injury.

			New	Existing	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Injury	0035	Nature of Injury	A	A		
	0037	Cause of Injury	A	A		

Match Data

For existing claims, only one Match Data Element can be changed on the same MTC 02 Change transaction unless noted otherwise on the Category Legend. Error message *117- Match data value not consistent with value previously reported* will be returned resulting in a TR-Transaction Rejected acknowledgment.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		
	0015	Claim Administrator Claim Number				
Claimant		Employee ID				
		▪ Employee SSN – Preferred (DN0042)	P	S	S	S
		▪ Employee Green Card (DN0153)	P	S	S	S
		▪ Employee Employment Visa (DN0152)	P	S	S	S
		▪ Employee ID Assigned by Jurisdiction (DN0154)	P	S	S	S
		▪ Employee Passport Number (DN0156)	P	S	S	S
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
	0044	Employee First Name	P	S	P	P
0052	Employee Date of Birth	P	S	S	S	
Claim Administrator	0187	Claim Administrator FEIN	P	S		S
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P
	0003	Maintenance Type Code Date		P	P	P

Note: Transaction Grouping' are not applicable to the MTC 02 Change transaction.

Match Data

The limitation of changing one match data element does not apply to or consider the 'Additional' match data elements.

			New	Existing	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Injury	0035	Nature of Injury	A	A		
	0037	Cause of Injury	A	A		

Category Legend as indicated by 'Applicable = Y' allows: Employee First Name (DN0043) and Employee Last Name (DN0044) to both change on the FROI 02 Change and also Insurer FEIN (DN0006) and Claim Administrator FEIN (DN0187) can both change on a FROI 02.

When selecting Primary and Secondary Match Data elements, jurisdictions must recognize the following conditions may apply to the claim and consider which combinations, if any, can be changed at the same time by placing a Y or N in the Applicable column.

Multiple element changes Category legend:		Applicable? (Y/N)
Category	Conditions	
1	Employee First Name (DN0043) and Employee Last Name (DN0044)	Y
2	Insurer FEIN (DN0006) and Claim Administrator FEIN (DN0187)	Y
3	Claim Administrator Postal Code (DN0014) and Claim Administrator FEIN (DN0187)	N
4	Employer FEIN (DN0016), Insurer FEIN (DN0006), and Claim Administrator FEIN (DN0187)	N
5	Employer FEIN (DN0016), Insurer FEIN (DN0006)	N
7	7 or greater - jurisdiction must define custom allowable combinations	N

Population Restrictions

Where "P" exists in the Population Restrictions Indicator column of the *DN-Error Message* table, there will be a corresponding entry in the Populations Restrictions table.

Sorted by Error Message & DN	<p>Edit Matrix Population Legend: F = Edit applies to the data elements deemed essential for a transmission/transaction to be processed. L = *Not grayed out: Edit applies to the data elements based on the requirements indicated on the Element Requirement Table. *Grayed out: The standard edit will not be applied by the jurisdiction</p> <p>Relaxed requirement edits: L = Claim: requirement is limited to "conditional" on new claims reported in R3 environment because the data may not (and may never be) available on legacy claims. V = Event: requirement is limited to "conditional" on claims where benefits are being "initiated" or "reinstated" in R3 because the data may not have been collected at the time payments were started</p>	Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6
DN	IAIABC Data Element Name						
0002	Maintenance Type Code	NI	F	P	F		
0003	Maintenance Type Code Date	NI	F	P	F		
0004	Jurisdiction Code	NI	F	P	F		

Population Restrictions

Each *Population Restriction* contains:

- **The DN # and Name;**

DN	Data Element Name	Population Restriction	Error Message Num	Error Message Text	Element Error Text (DN0291)
0003	Maintenance Type Code Date	If incoming SROI: Check to see if there is a SROI in TA acknowledgment status: If YES SROI in TA ack status: Must be greater than or equal to MTC Date of last SROI MTC Report that is in TA acknowledgment status.	064	Invalid Data Relationship	Must be >= last SROI MTC Date for Rpt in TA ack stat
0004	Jurisdiction Code	Jurisdiction Code must be "IA"	042	Not statutorily valid	Value must be "IA"
0005	Jurisdiction Claim Number	Must be the same number assigned by jurisdiction when the claim was established	117	Match data value not consistent with value previously reported	Match Data - JCN must be same as assigned JCN
0006	Insurer FEIN	Multiple match data value can be changed in one transaction. See Match Data Table and exception under 'Category 2'..	117	Match data value not consistent with value previously reported	2 match DN's can change; exception in Category 2

Population Restrictions

Each Population Restriction contains:

- Population Restriction, Element Error Number, Error Message Text and the Element Error Text

DN	Data Element Name	Population Restriction	Error Message Numb	Error Message Text	Element Error Text (DN0291)
0003	Maintenance Type Code Date	If incoming SROI: Check to see if there is a SROI in TA acknowledgment status: If YES SROI in TA ack status: Must be greater than or equal to MTC Date of last SROI MTC Report that is in TA acknowledgment status.	064	Invalid Data Relationship	Must be >= last SROI MTC Date for Rpt in TA ack stat
0004	Jurisdiction Code	Jurisdiction Code must be "IA"	042	Not statutorily valid	Value must be "IA"
0005	Jurisdiction Claim Number	Must be the same number assigned by jurisdiction when the claim was established	117	Match data value not consistent with value previously reported	Match Data - JCN must be same as assigned JCN
0006	Insurer FEIN	Multiple match data value can be changed in one transaction. See Match Data Table and exception under 'Category 2'..	117	Match data value not consistent with value previously reported	2 match DN's can change; exception in Category 2

Population Restrictions

Let's look at another example for DN0085 Benefit Type Code and DN0086 Benefit Type Amount Paid



DN	Data Element Name	Population Restriction	Error Message Num	Error Message Text	Element Error Text (DN0291)
0085	Benefit Type Code	See Iowa Calc Pop Rest Edit # 3 in "Iowa Calc Edits per Rate Table" Tab and edit steps in "Iowa Calc Edits per Rate Steps" Tab located in this Edit Matrix.	042	Not statutorily valid	(3) BTC 070 invalid for Inmate
0086	Benefit Type Amount Paid	See Iowa Calc Pop Rest Edit # 5 in "Iowa Calc Edits per Rate Table" Tab and edit steps in "Iowa Calc Edits per Rate Steps" Tab located in this Edit Matrix.	042	Not statutorily valid	(5) BT Amt Paid > 5% under Iowa Calculated Amt Pd

Sequencing Table

Iowa's transaction sequence edits are defined on the *Sequencing table*.

If the Apply Seq Edit is = Y, this indicates that the MTC is accepted and the sequencing edit will be applied. Error text indicates why the report was rejected.

Apply Seq Edit? Y, N, NA	Incoming Maintenance Type Code	MTC NAME	Element Error Number (DN0116)	IAIABC Suggested Error Text (DN0291) Note: Iowa will return Error Message Number: 063 (Invalid event sequence) and no specific Element Error Text (DN0291) unless indicated on the Population Restriction Table	Incoming Maintenance Type Code	MINIMUM SEQUENCING REQUIREMENTS Note: These Sequencing Requirements are based on the MTC's that are accepted by Iowa.
Business Event Group 1. Establish Claim or New Claim Administrator						
		1a. Determination not made				
		1b. Report of Injury				
Y	00	Original	063		00	None (refer to FROI Match Data/Duplicate Transactions <i>TRANSACTION PROCESSING GUIDELINES</i> in Section 2)
		1c. Denial				
Y	04 - FROI	Full Denial FROI	063		04 - FROI	None (refer to FROI Match Data/Duplicate Transactions <i>TRANSACTION PROCESSING GUIDELINES</i> in Section 2)
		1d. Acquired Claim				
Y	AQ	Acquired Claim	063	No previous FROI from prior Clm Admin	AQ	No previous accepted First Report from prior Claim Administrator
Y	AU	Acquired/Unallocated			AU	None (refer to FROI Match Data/Duplicate Transactions <i>TRANSACTION PROCESSING GUIDELINES</i> in Section 2)

Iowa's Accident Site County Code List

DN	Report or MTC	Data Element Name	Population Restriction	Exception	Error Message Number	Error Message Text	Element Error Text (DN0291)
0118	FROI	Accident Site County/Parish	<p>Must be valid per the Iowa Accident Site County Code List located in Edit Matrix on Iowa Acc Site County Code List tab.</p> <p>"Unknown", "Out of state", "Out-of-state" allowed per notes below.</p> <p>Notes: If ACCIDENT SITE STATE CODE – DN0123 is present and = 'IA' then "Out of state", "Out-of-state" is invalid.</p> <p>'Unknown' should only be sent when unknown, and should not be sent as a default.</p>		111	Must be valid content	Not found in the list of IA counties

Iowa Accident Site County Name

See Population Restriction Edit:

DN0118 Accident Site County/Parish Error: 111 Must be valid content

Adair

Adams

Allamakee

Appanoose

Audubon

Benton

Black Hawk

Boone

Iowa Rate Book Look Up Table

Iowa uses a Rate Book to determine the weekly Benefit Amount an injured worker should be paid for certain Benefit Types and types of Employee. It is recommended that you download this spreadsheet, and become familiar with the calculation of the “Iowa Calculated Gross Weekly Amount”

<https://iwddwcedi.info/guide>

The screenshot shows the Iowa Workforce Development website header with the logo and the text "Division of WORKERS' COMPENSATION". Below the header are navigation buttons for "Home", "What's New", and "About ISO". On the left is a sidebar menu with the following items: "EDI Resources", "Contact us for help", "EDI Requirements", "Implementation Guide" (highlighted in blue), "EDI Vendors", "Implementation Info", and "FAQ". A blue arrow points from the "Implementation Guide" menu item to the main content area. The main content area features the heading "EDI Implementation Guide" with the subtext "Version 1.0 - Revision Date: 3/8/2018". Below this is the instruction "Download the Guide by clicking on the link below." followed by a list of links: "Iowa DWC Claims EDI R3.1 Implementation Guide" (with a PDF icon) and "Iowa Rate Book Look Up Table" (with an Excel icon). Under the "Iowa Rate Book Look Up Table" link, it says "Iowa Ratebook Lookup Table Spreadsheet (1999-2018) Posted: 6/4/2018" and provides another link to the "Iowa Ratebook Lookup Table Spreadsheet (1999-2018)" (with an Excel icon).

Iowa Rate Book Look Up Table

The Iowa Rate Book Look Up Table Spreadsheet has the following columns that are used to determine the Iowa Calculated Gross Weekly Amount:

- Rate Book Year
- Average Weekly Wage
- Marital Status
- Number of Entitled Exemptions
- The Key in the first column is just a concatenated version of the next 4 columns.

In the above highlighted example, the Rate Book is 2018, the AWW was \$600, the Marital Status was Married, and Number of Entitled Exemptions is 3. This yields an Iowa Calculated Gross Weekly Amount of \$414.09.

Key	Rate Book Year	Average Wage (4 digits, zero filled to the left)	Rate Book Marital Status (1 digit)	Nbr of Entitled Exemptions (2 digits)	Iowa Calculated Gross Weekly Amt (\$4.2)
20180600S01	2018	600	S	1	383.21
20180600S02	2018	600	S	2	393.44
20180600S03	2018	600	S	3	401.22
20180600S04	2018	600	S	4	409.00
20180600S05	2018	600	S	5	415.96
20180600S06	2018	600	S	6	422.53
20180600S07	2018	600	S	7	426.89
20180600S08	2018	600	S	8	427.50
20180600S09	2018	600	S	9	428.12
20180600S10	2018	600	S	10	428.74
20180600M01	2018	600	M	1	398.44
20180600M02	2018	600	M	2	407.52
20180600M03	2018	600	M	3	414.09
20180600M04	2018	600	M	4	420.66

Iowa Rate Book Look Up Table

The Rate Book Look Up Table consists of 4 Tabs:

- The Rates from Rate Book Years 1999-2017
- The Rates for Rate Book Year 2018 (valid beginning with Dates of Injury July 1, 2018 through June 30, 2019)
- The PPD Body Part Look Up Table and
- The Min Max Look Up Tables

Each of these is used in the calculation of certain Iowa Benefit Types.

Key	Rate Book Year	Average Wage (4 digits, zero filled to the left)	Rate Book Marital Status (1 digit)	Nbr of Entitled Exemptions (2 digits)	Iowa Calculated Gross Weekly Amt (\$4.2)
20180600S01	2018	600	S	1	383.21
20180600S02	2018	600	S	2	393.44
20180600S03	2018	600	S	3	401.22
20180600S04	2018	600	S	4	409.00
20180600S05	2018	600	S	5	415.96
20180600S06	2018	600	S	6	422.53
20180600S07	2018	600	S	7	426.89
20180600S08	2018	600	S	8	427.50
20180600S09	2018	600	S	9	428.12
20180600S10	2018	600	S	10	428.74
20180600M01	2018	600	M	1	398.44
20180600M02	2018	600	M	2	407.52
20180600M03	2018	600	M	3	414.09
20180600M04	2018	600	M	4	420.66

Iowa Calc Edits Per Rate Steps

The Iowa Calc Edits Per Rate Steps tab of the Edit Matrix sets out the first 3 Steps to determining the correct Rate Calculation, and these Steps are referenced in the next Tab which sets out the specific calculation edits.

Step 1 – Determine the Type of Employee: Inmate, Volunteer or Regular

Iowa Calculation Edits Per Rate Steps

Iowa's Rate Table can be found at <https://www.iowaworkcomp.gov/pdfs?title=ratebook>

Iowa's Rate Book Look Up Table spreadsheet can be found at <https://iwddwcedi.info/guide>

"Iowa Calculations Per Rate Steps" used with the Edit Process on "Iowa Calc Edits per Rate Table" worksheet and Population Restrictions

Step 1: Determine if the Employee is an "Inmate", "Volunteer", or "Regular"

Obtain Manual Classification Code (DN0059) from the latest FROI report (DN is only on the FROI).

If Manual Class Code is present:

- a) If Manual Classification Code (DN0059) is = 7704, 7705, 7711, 7720, 9410, or 9968 then this is a "Volunteer".
- b) If Manual Classification Code (DN0059) is = 7730 then this is an "Inmate".
- c) If Manual Classification Code (DN0059) is = any other value, then this is "Regular".

If Manual Class Code is not present:

- a) If Employment Status Code (DN0058) is present and = 9 - Volunteer, then this is a "Volunteer".
- b) If Employment Status Code (DN0058) is present and = 7 - Other, then this is an "Inmate".
- c) If Employment Status Code (DN0058) is present and = any code other than 7 or 9, employee is "Regular".

Use this result to apply edits on 'Iowa Calc Edit Per Rate Table' as indicated under column labeled 'Iowa EE Type Manual Class Code (DN0059)'.



Iowa Calc Edits Per Rate Steps

Iowa Calc Edits Per Rate Table

PI Body Part Code(s) Worksheet

Iowa Calc Edits Per Rate Steps

Step 2 – Determine the Correct Rate Book Year to use for Calculations based on the Date of Injury.

The Rate Book runs from July 1st through June 30th of the following year. For example, the 2017 rate book runs from 7/1/2017 thru 6/30/2018. The rate book values can be found on the Iowa Rate Book Look Up Table spreadsheet on Iowa's EDI Website.

Rate Book Year: Determine within which year's Rate book the Date of Injury (DN0031) falls. Find correct Year in Iowa Rate Book Look Up Table spreadsheet.

Step 2:

Rate Calculations/Benefit Segment Edits will only be performed for claims with Date of Injury (DN0031) \geq 1-1-2000.

Iowa uses a published Rate Book that runs from July 1st through June 30th of the following year. For example, the 2017 rate book runs from 7/1/2017 thru 6/30/2018. The rate book values can be found on the Iowa Rate Book Look Up Table spreadsheet. To determine which rate book year to use:

If the Month of the Date of Injury (DN0031) $>$ 6, use the year of the Date of Injury (DN0031); else use year of the Date of Injury (DN0031) minus 1. For example, the rate book for an Date of Injury (DN0031) of 3/15/2015 is 2014.

If the Month of the Date of Injury (DN0031) is $>$ 6 (June), use the Rate Book for the year of the Date of Injury (DN0031); otherwise use the Rate Book for the year of the Date of Injury minus 1.

For example, the rate book to use for a Date of Injury of 3/15/2015 is 2014.

Iowa Calc Edits Per Rate Steps

Step 3 – Determine the “Iowa Calculated Gross Weekly Amount”

Take the Average Wage (DN0286, Employee Marital Status (DN0054) and Number of Entitled Exemptions (DN0213) and look up the correct “Rate” in the “Iowa Rate Book Look Up Table” spreadsheet.

Look Up the Rate in the Iowa Rate Book Look Up Table spreadsheet for a. b. c. d

Step 3: Look up Rate in Iowa Rate Book Look Up Table spreadsheet to determine "Iowa Calculated Gross Weekly Amt"

a) Obtain The Rate Book Year (Above Logic)

b) For Incoming SROI report use the following data elements:

Average Wage (DN0286) - (that corresponds to "Average Wage" column) Round \$.01 to \$.49 cents down to whole dollar. Round \$.50 to \$.99 cents up to next whole dollar. (e.g. AWW \$456.97 rounds to \$457.00)

Employee Marital Status (DN0054) - If value is "U" (Unmarried), convert to S; if value = S or M convert to M - (that corresponds to "Rate Book Marital Status" column)

Number of Entitled Exemptions (DN0213) (Valid Values 1-10) - (that corresponds to "Nbr of Entitled Exemptions" column)

c) Concatenate all values from a) and b) above. Then compare that value to Column A (Key).

(Note): Key equates to these columns [Rate Book Year, Average Wage (4 digits, zero filled to the left), Rate Book Marital Status (1 digit), Nbr of Entitled Exemptions (2 digits)] and use that to look up in Column A (Key) to find the value.

d) Results of c:

i. If match found then obtain the "Iowa Calculated Gross Weekly Amt" from the Iowa Rate Book Look Up Table spreadsheet.

ii. If match not found, then use the Min/Max table in Iowa Rate Book Look Up Table spreadsheet to obtain the "Iowa Calculated Gross Weekly Amt" using "Rate Book Year", and "Max TTD-PTD-RB Amount" (Column 12) to be used for Iowa Calc Edits Per Rate Table worksheet.

Iowa Calc Edits Per Rate Table

The Iowa Calc Edits Per Rate Table tab of the Edit Matrix sets out the Iowa Calc Population Restriction edits. There are edits for DN0085 Benefit Type Code; DN0174 Gross Weekly Amount; and DN0086 Benefit Type Amount Paid. These edits are individually numbered and vary depending on the Benefit Type Code and Iowa Employee Type.

Iowa Calculation Edits Per Rate Table						
Iowa's Rate Table can be found at https://www.iowaworkcomp.gov/pdfs?title=ratebook						
Iowa's Rate Book Look Up Table spreadsheet can be found at https://iwddwcedi.info/guide						
Also see "Iowa Calc Edits per Rate Steps" for previous edit steps; and Population Restrictions tabs for edits applied.						
Note: Any Reference to 'Iowa Calculated Gross Weekly Amt' edit in reference to DN0086 Benefit Type Amount Paid should be using the calculation steps mentioned in the Gross Weekly Amount entries						
Iowa Calc Pop Rest Edit #	DN	MTC (DN0002)	Data Element Name	BTC (DN0085)	Iowa EE Type Manual Class Code (DN0001)	Iowa Calculations Per Rate Table Steps and Edit Details
3	0085	02, IP, EP, AP CA, CB, ER, RB, PX, SX, AN, FN	Benefit Type Code	070	Inmate	<p>For DOI >= 20000101 (01-01-2000) and MTC: 02, IP, EP, AP CA, CB, ER, RB, PX, SX, AN, FN and Benefit Type Code (DN0085) = 070 TPD does not apply to Inmates.</p> <p>Edit: If DOI >= 20000101 (01-01-2000) and MTC = 02, IP, EP, AP CA, CB, ER, RB, PX, SX, AN, or FN and BTC (DN0085) = 070 and Step 1 from Iowa Calc Edits Per Rate Steps result = "Inmate", then reject for 042 (Not Statutorily Valid) Elem Error Text: (3) BTC 070 invalid for Inmate Do not continue further calculations</p>
4	0174	02, AP CA, CB, ER, RB, PX, SX	Gross Weekly Amount	050, 250	Regular Employee	<p>For DOI >= 20000101 (01-01-2000) and MTC: 02, AP CA, CB, ER, RB, PX, SX and Benefit Type Code (DN0085) = 050 or 250 (Regular Employee)</p> <p>Step 1: Look up "Iowa Calculated Gross Weekly Amt" (Step 3 d in Iowa Calc Edits Per Rate Steps worksheet)</p> <p>Step 2: Edit: If DOI >= 20000101 (01-01-2000) and MTC = 02, AP CA, CB, ER, RB, PX, or SX and Benefit Type Code (DN0085) = 050 or 250 for Regular Employee, Gross Weekly Amount (DN0174) sent must be = Iowa's Calculated Gross Weekly Amount. If not, reject for 042 (Not Statutorily Valid) Elem Error Text: (4) GWA does not = value per Iowa's Rate Table Do not continue further in calculations.</p>
5	0086	02, AP CA, CB, ER, RB, PX, SX, AN, FN	Benefit Type Amount Paid	050, 250	Regular Employee	<p>For DOI >= 20000101 (01-01-2000) and MTC: 02, AP CA, CB, ER, RB, PX, SX, AN, FN and Benefit Type Code (DN0085) = 050 or 250 for (Regular Employee) and Non-Consecutive Period Code (DN0212) is blank (indicates consecutive dates):</p> <p>Step 3a: Determine the number of days from Benefit Period Start Date (DN0088) through and including Benefit Period End Date (DN0089), and convert to Weeks by dividing by 7 and then rounding the result to three decimal places.</p> <p>Step 4a: Multiply the result from Step 3a by [the "Iowa Calculated Gross Weekly Amt" (Step 2 above) minus any Benefit Adjustment Weekly Amount (DN0093) and/or Benefit Credit Weekly Amount (DN0129) present] to determine the "Iowa Calculated Benefit Type Amount Paid".</p> <p>Step 5a: If the "Iowa Calculated Benefit Type Amount Paid" (Step 4a) is more than 5% greater than the Benefit Type Amount Paid (DN0086) sent, then reject.</p> <p>Edit: If DOI >= 20000101 (01-01-2000) and MTC = 02, AP CA, CB, ER, RB, PX, SX, AN, or FN and Benefit Type Code (DN0085) = 050 or 250 for Regular Employee, and</p>

Iowa Calc Edits Per Rate Table

Step 1: The Gross Weekly Amount (DN0174) edits start with determining the Benefit Type Code, Iowa Employee Type and the "Iowa Calculated Gross Weekly Amount" calculation from Step 3 of the 'Iowa Calc Per Rate Steps' tab.

Step 2: The incoming Gross Weekly Amount for the corresponding Benefit Type is compared to the "Iowa Calculated Gross Weekly Amount" calculation. If it does not match, the record will be rejected and the Population Restrictions Error and Element Error Text will contain the Edit # from the column at the far left.

This example is Edit #4: [(4) GWA does not = value per Iowa's Rate Table]

Iowa Calc Pop Rest Edit #	DN	MTC (DN0002)	Data Element Name	BTC (DN0085)	Iowa EE Type Manual Class Code (DN0085)	Iowa Calculations Per Rate Table Steps and Edit Details
4	0174	02, AP CA, CB, ER, RB, PX, SX	Gross Weekly Amount	050, 250	Regular Employee	<p>For DOI >= 20000101 (01-01-2000) and MTC: 02, AP CA, CB, ER, RB, PX, SX and Benefit Type Code (DN0085) = 050 or 250 (Regular Employee)</p> <p>Step 1: Look up "Iowa Calculated Gross Weekly Amt" (Step 3 d in Iowa Calc Edits Per Rate Steps worksheet)</p> <p>Step 2: Edit: If DOI >= 20000101 (01-01-2000) and MTC = 02, AP CA, CB, ER, RB, PX, or SX and Benefit Type Code (DN0085) = 050 or 250 for Regular Employee, Gross Weekly Amount (DN0174) sent must be = Iowa's Calculated Gross Weekly Amount. If not, reject for 042 (Not Statutorily Valid)</p> <p>Elem Error Text: (4) GWA does not = value per Iowa's Rate Table</p> <p>Do not continue further in calculations.</p>

Iowa Calc Edits Per Rate Table

The Benefit Type Amount Paid (DN0086) edits have multiple steps that include utilizing the "Iowa Calculated Gross Weekly Amount" determined from the corresponding Gross Weekly Amount edit for the same Benefit Type Code and Iowa Employee Type.

For this example: Edit # 5 is for a Regular Employee, for Benefit Type Code 050 or 250. The Benefit Type Amount Paid calculation uses the "Iowa Calculated Gross Weekly Amount" calculation from Edit #4.

Iowa Calc Pop Rest Edit #	DN	MTC (DN0002)	Data Element Name	BTC (DN0085)	Iowa EE Type Manual Class Code (DN0086)	Iowa Calculations Per Rate Table Steps and Edit Details
5	0086	02, AP CA, CB, ER, RB, PX, SX, AN, FN	Benefit Type Amount Paid	050, 250	Regular Employee	<p>For DOI >= 20000101 (01-01-2000) and MTC: 02, AP CA, CB, ER, RB, PX, SX, AN, FN and Benefit Type Code (DN0085) = 050 or 250 for (Regular Employee) and Non-Consecutive Period Code (DN0212) is blank (indicates consecutive dates):</p> <p>Step 3a: Determine the number of days from Benefit Period Start Date (DN0088) through and including Benefit Period End Date (DN0089), and convert to Weeks by dividing by 7 and then rounding the result to three decimal places.</p> <p>Step 4a: Multiply the result from Step 3a by [the "Iowa Calculated Gross Weekly Amt" (Step 2 above) minus any Benefit Adjustment Weekly Amount (DN0093) and/or Benefit Credit Weekly Amount (DN0129) present] to determine the "Iowa Calculated Benefit Type Amount Paid".</p> <p>Step 5a: If the "Iowa Calculated Benefit Type Amount Paid" (Step 4a) is more than 5% greater than the Benefit Type Amount Paid (DN0086) sent, then reject.</p> <p>Edit: If DOI >= 20000101 (01-01-2000) and MTC = 02, AP CA, CB, ER, RB, PX, SX, AN, or FN and Benefit Type Code (DN0085) = 050 or 250 for Regular Employee, and Non-Consecutive Period Code (DN0212) is blank: The Benefit Type Amount Paid (DN0086) sent must be <= 5% of ["Iowa Calculated Benefit Type Amount Paid" minus Benefit Type Amount Paid (DN0086) sent] divided by the Iowa Calculated Benefit Type Amount Paid. If that amount is > 5%, then reject for 042 (Not Statutorily Valid). Elem Error Text: (5) BT Amt Paid > 5% under Iowa Calculated Amt Pd</p> <p>Examples:</p> <ol style="list-style-type: none"> > 5% Iowa Calc Amt Pd = \$100. Trans Amt Pd = \$90. Therefore difference = \$10.(10% of Iowa Calc Amt Pd) Error is returned <= 5% Iowa Calc Amt Pd = \$100. Trans = Amt Pd \$97. Therefore difference = \$3.(3% of Iowa Calc Amt Pd which is within the 5% max so no error returned)

Iowa Population Restrictions based on Calculation Edits Per Rate Steps

The Population Restriction edits for the Benefit Type Code 050 for a Regular Employee, for Gross Weekly Amount (DN0174) and Benefit Type Amount Paid (DN0086) are noted below.

The numbers (4) and (5) that will get you back to the actual edit in the Iowa Calc Edits Per Rate Steps tab are located in the Population Restriction edit and in the Element Error Text.

DN	Data Element Name	Population Restriction	Error Message Numb	Error Message Text	Element Error Text (DN0291)
0174	Gross Weekly Amount	See Iowa Calc Pop Rest Edit # 4 in "Iowa Calc Edits per Rate Table" Tab and edit steps in "Iowa Calc Edits per Rate Steps" Tab located in this Edit Matrix.	042	Not statutorily valid	(4)GWA does not = value per Iowa's Rate Table
0086	Benefit Type Amount Paid	See Iowa Calc Pop Rest Edit # 5 in "Iowa Calc Edits per Rate Table" Tab and edit steps in "Iowa Calc Edits per Rate Steps" Tab located in this Edit Matrix.	042	Not statutorily valid	(5) BT Amt Paid > 5% under Iowa Calculated Amt Pd

How does Iowa communicate the status of EDI reports?

Trading Partner sends
FROI Batch:

FROI	Claim#1
FROI	Claim#2
FROI	Claim#3
FROI	Claim#4



Iowa returns
Acknowledgment Batch:

AKC TA Claim#1
AKC TE Claim#2
AKC TR Claim#3
AKC TR Claim#4

Iowa returns an EDI Acknowledgment Record (AKC) for each FROI and SROI report received. The AKC communicates the status of the EDI FROI or SROI report. The status can be a TA or TR:

- **Status TA:** Indicates that the EDI report was accepted
- **Status TR:** Indicates that the EDI report was rejected. Review the reason to determine if the same FROI or SROI needs to be adjusted and resent.

What are the Options for EDI Submissions?

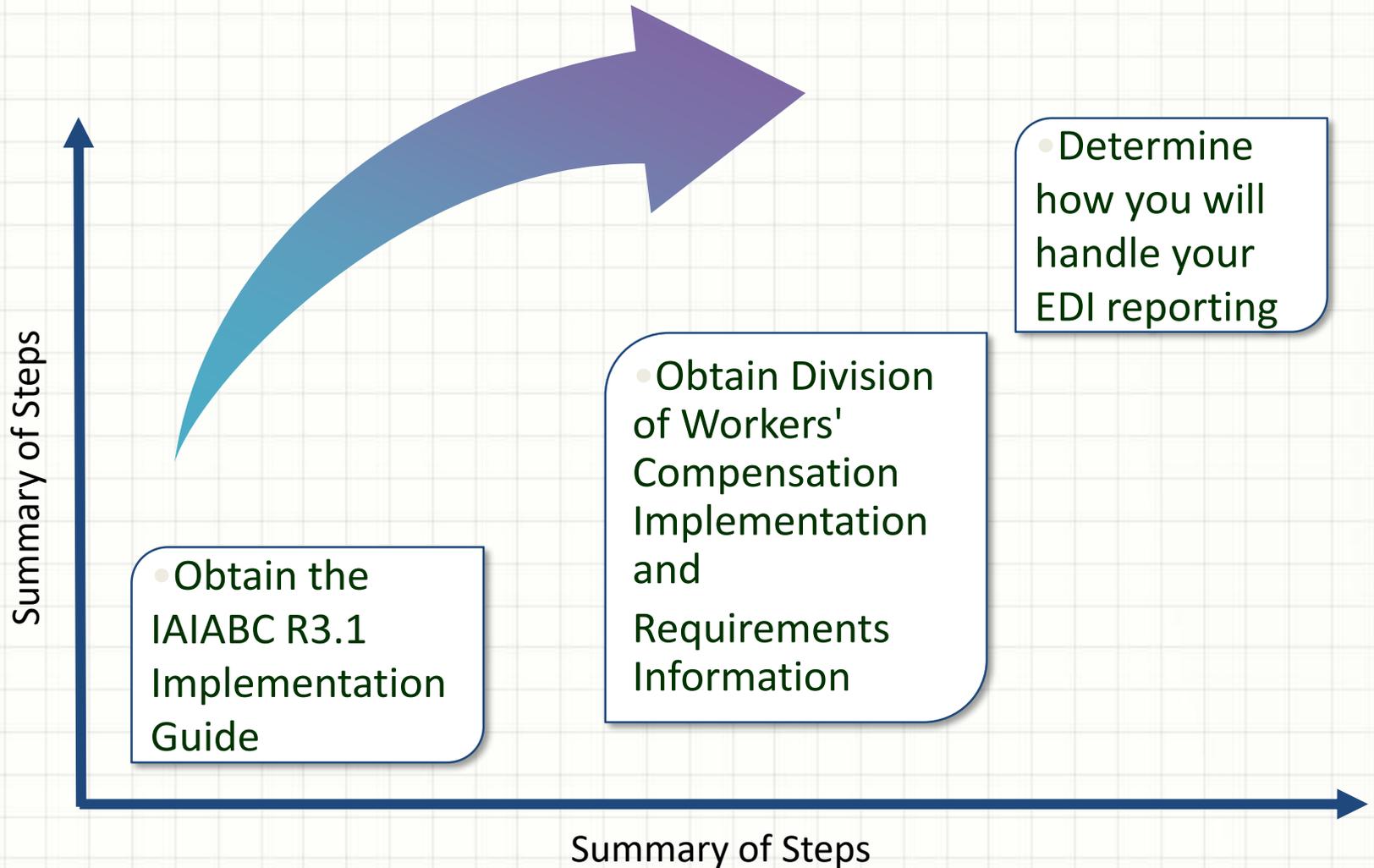


- **Direct Reporting (Secure FTP)**
 - Trading Partners and IAIABC Members with knowledge of the standards reporting EDI Claims Releases in other states
- **EDI Vendor**
 - List of vendors that have a variety of services to meet your EDI needs are listed on Iowa's website. Iowa does not endorse, nor recommend any one vendor over another.
- **Iowa – ISO wcPrism Web Entry**
 - This web system is provided, for low volume Trading Partners, by Iowa's EDI Claims Vendor - ISO

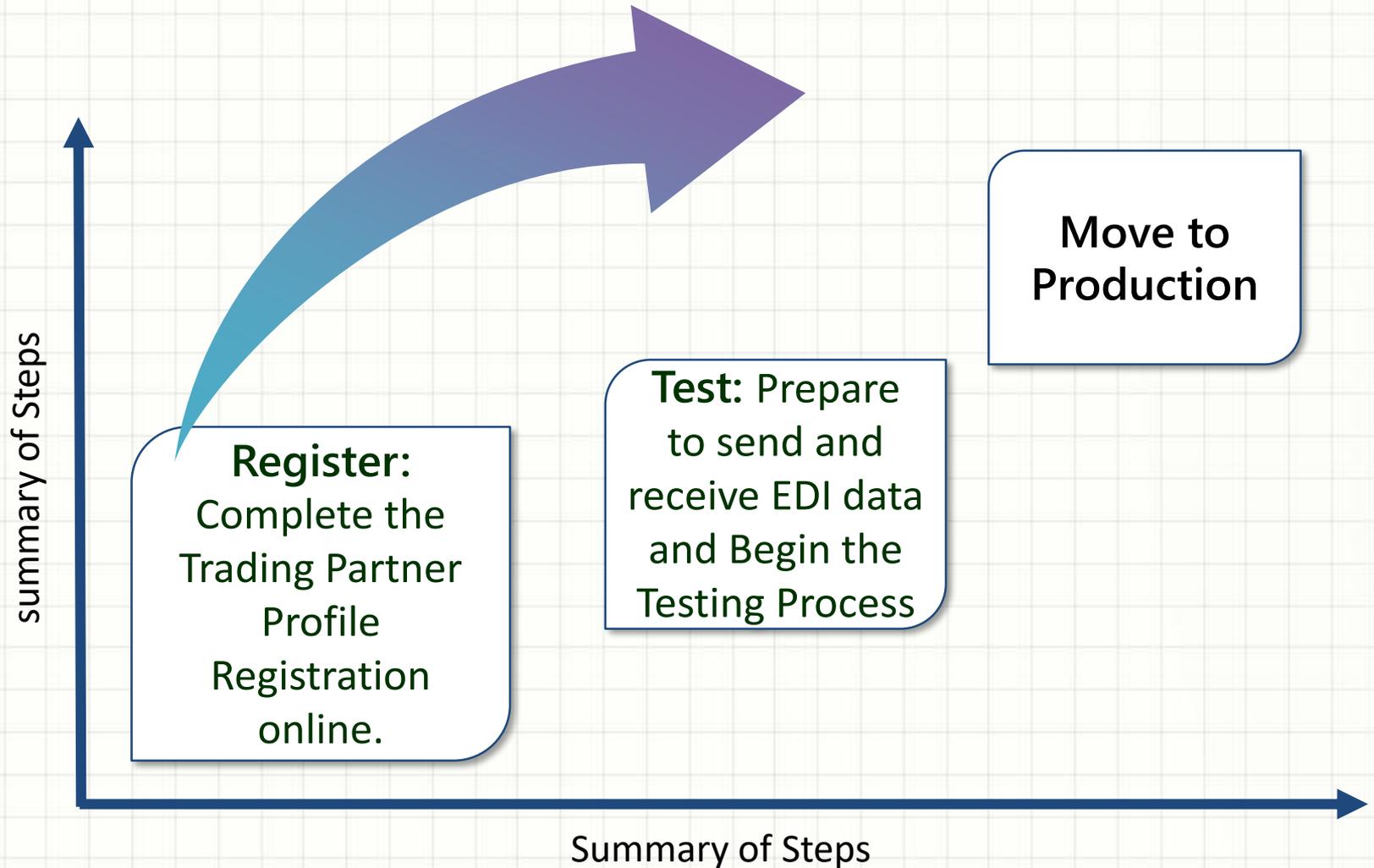


Summary of Steps to Implement EDI Claims Release 3.1 with Iowa

Summary of Steps for Implementation



Summary of Steps for Implementation



How do I get help?

All questions related to the Trading Partner Registration Process and/or General EDI Support, please contact the Iowa EDI Support Team via email at iwddwcedi@iso.com.



QUESTIONS?





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WORKFORCE
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COMPENSATION



THANK YOU

FOR ATTENDING

**THE IOWA DIVISION OF WORKERS' COMPENSATION
(IWD DWC)**

**EDI CLAIMS RELEASE 3.1
INFORMATION WEBINAR**